

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

1. Person Reporting (last name, first, middle initial) Sotomayor, Sonia	2. Court or Organization Supreme Court of the United States	3. Date of Report 05/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 1/1/2011 to 12/31/2011
5b. <input checked="" type="checkbox"/> Amended Report		

7. Chambers or Office Address

1 First Street, NE
Washington, DC 20543

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Kansas State University	1/27/11	Manhattan, Kansas	Lecture	Transportation, lodging and meals
2. University of Kansas and Washburn University	1/28/11	Lawrence, Kansas	Question and Answer Presentation	Transportation, lodging and meals
3. University of Chicago	1/29 - 1/31/11	Chicago, Illinois	Question and Answer Presentation, Meetings	Transportation, lodging and meals
4. Northwestern University	2/1/11	Evanston, Illinois	Teaching	Transportation, lodging and meals
5. University of California, Berkeley	2/2 - 2/4/11	Berkeley, California	Moot Court Competition, Meetings	Transportation, lodging and meals
6. Philadelphia Bar Association	3/11/11	Philadelphia, Pennsylvania	Speech	Transportation and meals

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7.	Columbia University	4/7/11	New York, New York	Moot Court Competition	Transportation, meals
8.	Princeton University	4/29/11	Princeton, New Jersey	Alumni Women's Conference	Transportation and meals
9.	University of South Carolina	5/5 - 5/7/11	Columbia, South Carolina	Commencement Speech	Transportation, lodging and meals
10.	Ramapo College	5/13/11	Ramapo, New Jersey	Commencement Speech	Transportation and meals
11.	US Embassy, El Salvador	8/14 - 8/18/11	San Salvador, El Salvador	Community Meetings	Transportation, lodging and meals
12.	Leadership Institute of Santa Fe Indian School	9/5 - 9/8/11	Santa Fe, New Mexico	Community Meetings	Transportation, lodging and meals
13.	Columbia University	10/21/11	New York, New York	Question and Answer Presentation	Transportation and meals
14.	Harvard University	11/17 - 11/18/11	Cambridge, Massachusetts	Moot Court Competition	Transportation, lodging and meals
15.	Vermont Women's Economic Opportunity Conference	12/9 - 12/10/11	Randolph, Vermont	Question and Answer Presentation	Transportation, lodging and meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)* NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Butler Family	Fine China	\$1,400.00
2.	Robert Weingarten	Translucent Composite Print	\$6,000.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)* NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Retail Credit Card	J
2.	Discover	Retail Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank, N.A. Savings	B	Interest	M	T					
2. Citibank, N.A. Checking	A	Interest	J	T					
3. ING Direct	A	Interest	L	T					
4. Morgan Stanley Bank NA	A	Interest	J	T	Open	04/15/11	K		
5. Pimco Total Return Fund-A	B	Dividend	K	T	Buy	06/17/11	K		
6. Templeton Global Bond A	A	Dividend	K	T	Buy	06/17/11	K		
7. Columbia TR 1 LC Growth CL AM	A	Dividend	K	T	Buy	06/17/11	K		
8. Nuveen NWQ Large Cap Value A	A	Dividend	K	T	Buy	06/17/11	K		
9. Thornburg INT Growth A	A	Dividend	K	T	Buy	06/17/11	K		
10. Morgan Stanley Bank NA (IRA)	A	Interest	K	T	Open	4/14/11	K		
11. Blackrock GLB Allocation FD CL-A (IRA)	A	Dividend	K	T	Buy	06/14/11	K		
12. Rental Property #1, New York, NY (X)		None	P1	Q					
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Part V. GIFTS.

During 2011 many people sent me gifts of books, art, jewelry and trinkets. I have no reason to believe that any of those items exceeded the \$335.00 limit. If I should learn otherwise, I will amend this form.

2) Part VII. Investments and Trusts, Page 1 line 14:

Rental property in New York, New York was converted from personal to business. Value was determined by written appraisal dated 10/6/2010.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sonia Sotomayor**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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