AO 10 Rev. 1/2011

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sotomayor, Sonia	Supreme Court of the United States	05/18/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice 7. Chambers or Office Address 1 First Street, NE Washington, DC 20543	5a. Report Type (check appropriate type) Nomination, Date Initial Annual Final 5b. Amended Report 8. On the basis of the information contained in this Report an modifications pertaining thereto, it is, in my opinion, in conwith applicable laws and regulations. Reviewing Officer	mpliance
	e instructions accompanying this form must be followed. Complet each part where you have no reportable information. Sign on last	
I. POSITIONS. (Reporting individual only; see pp. 9-13 of NONE (No reportable positions.)	of filing instructions.) .	
POSITION	NAME OF ORGA	NIZATION/ENTITY
1.		
2.		•
3.		
4.		
5.		
II. AGREEMENTS. (Reporting individual only; see p. NONE (No reportable agreements.)	p. 14-16 of filing instructions.)	
<u>DATE</u>	PARTIES AND TERMS	
	TAKTILO IND TEKNO	
	•	

Page 2 of 7

Fordham Law Film Festival

10/17/2010

New York, NY

Name of Person Reporting	Date of Report
Sotomayor, Sonia	05/18/2011

III. NON-INVESTMI	ENT INCOME	• (Reporting individual and spous	e: see pp. 17-24 of filing instruction:	s.)
A. Filer's Non-Investment			-,, , ,	,
NONE (No reportab	le non-investment	income.)		
<u>DATE</u>		SOURCE AN	ND TYPE	INCOME (yours, not spouse's)
1. 4/29/2010	Book adv	vance from The Knopf Doubled	lay Group	\$1,175,000.00
2.				
3.				
4.				
				_
B. Spouse's Non-Investmen	nt Income - If you w	ere married during any portion of	the reporting year, complete this sec	tion.
(Dollar amount not required except for	•			
✓ NONE (No reportable	le non-investment i	•		
<u>DATE</u>		SOURCE AN	ID TYPE	
1.				
2. ·				
3.				
4.				
		,		
IV. REIMBURSEME] (Includes those to spouse and dependent				
NONE (No reportabl				
SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
St. Lawrence University	5/16/2010	Canton, NY	Commencement Speaker	Transportation and meal
2. Hostos College	6/4/2010	New York, NY	Commencement Speaker	Transportation and meal
B. Bronx Children's Museum	8/14/2010	Bronx, NY	Speaker	Transportation and meal
I. Cleveland Legal Aid Society	9/9/2010	Cleveland, OH	Speaker	Transportation and meals
i. New York Historical Society	9/16/2010	New York, NY	Speaker at Nueva New York Exhibit	Transportation and meals
				-

Speaker

Transportation and meal

Page 3 of 7

Name of Person Reporting

Date of Report

Sotomayor, Sonia

05/18/2011

7. University of Paris Ouest 11/17/2010 - Paris, France Speaker Transportation, lodging, meals 11/19/2010

FINANCIAL DISCLOSUI	RE REPORT	Name of Person Reporting		Date of Report
Page 4 of 7		Sotomayor, Sonia		05/18/2011
V. GIFTS. (Includes those to spouse and	l dependent children; see pp.	28-31 of filing instructions.)		
NONE (No reportable gifts.				
SOURCE		DESCRIPTION		VALUE
1. Robert Berks	Einstein Sculpture			\$1,500.00
2.				
3.				
4.				
5.				
VI. LIABILITIES. (Includes those	e of spouse and dependent ch	ildren; see pp. 32-33 of filing instructions.)		
NONE (No reportable liabil	ities.)			
CREDITOR		DESCRIPTION	<u>VA</u>	LUE CODE
American Express	Retail Credit Card			J
2. Discover	Retail Credit Card	· 		J
3. Visa	Retail Credit Card			J
4. Master Card	Retail Credit Card			J

•

5.

Page 5 of 7

Name of Person Reporting	Date of Report
Sotomayor, Sonia	05/18/2011

VII. INVESTMENTS and TRUSTS	income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instruct	ions.)
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	NONE (No reportable income,	assets, or	transaction	ıs.)			•			
	A. Description of Assets (including trust assets)		B. me during ting period	Gross va	C. alue at end ting period		Transacti	D. ons during		g period
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Citibank, N.A. Savings	Е	Interest	0	Т	Open	05/05/10	L		
2.	Citibank, N.A. Checking	A	Interest	J	Т					
3.	ING Direct	F	Interest	L	Т	•			ı	
4.										
5.										
6.										
7.										
8.										
9.			,							
10.										
11.										
12.					·					
13.										
14.		·								
15.										
16.								-		
17.										
							Ll_			

1. Income Gain Codes: (See Columns B1 and D4)

2. Value Codes (See Columns CI and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000

J =\$15,000 or less N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal U =Book Value B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000 K ≈\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only) V =Other

C=\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S ≈Assessment W =Estimated

D=\$5,001 - \$15,000

E=\$15,001 - \$50,000

H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

Page 6 of 7

Name of Person Reporting	Date of Report
Sotomayor, Sonia	05/18/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Additional Information to Part V. GIFTS.

During 2010 many people sent me gifts of books, art, jewelry and trinkets. 1 have no reason to believe that any of those items exceeded the \$335.00 limit. If I should learn otherwise, I will amend this form.

Page 7 of 7

Name of Person Reporting	•	Date of Report
Sotomayor, Sonia		05/18/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sonia Sotomayor

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544 AO 10 Rev. 1/2011

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sotomayor, Sonia	Supreme Court of the United States	05/19/2011
4. Title (Article III judges indicate active or senior status;	5a. Report Type (check appropriate type)	6. Reporting Period
magistrate judges indicate full- or part-time)	Nomination, Date	1/1/2010
Associate Justice	Initial Annual Final	to 12/31/2010
	5b. Amended Report	12/31/2010
7. Chambers or Office Address	8. On the basis of the information contained in this Report an modifications pertaining thereto, it is, in my opinion, in co	
1 First Street, NE	with applicable laws and regulations.	шриансе
Washington, DC 20543	Durington Officer	D-4-
	Reviewing Officer	Date
IMPORTANT NOTES: The in checking the NONE box for each	structions accompanying this form must be followed. Complet h part where you have no reportable information. Sign on last	e all parts, page.
I. POSITIONS. (Reporting individual only; see pp. 9-13 of fi NONE (No reportable positions.)	ling instructions.)	
POSITION	NAME OF ORGA	NIZATION/ENTITY
1.		
•		
2.		
3.		
4.		
5.		
II. AGREEMENTS. (Reporting individual only; see pp. 1	4-16 of filing instructions.)	
✓ NONE (No reportable agreements.)	. •	
<u>DATE</u>	PARTIES AND TERMS	
·		

Page 2 of 7

Name of Person Reporting

Sotomayor, Sonia

Date of Report

05/19/2011

III. NON-INVESTME		(Keporting individual and spous	e; see pp. 17-24 of Juing instructions	·/
A. Filer's Non-Investment				•
NONE (No reportab	le non-investment i	income.)		
<u>DATE</u>		SOURCE AN	ND TYPE	INCOME (yours, not spouse's)
1. 4/29/2010	Book adv	ance from The Knopf Doubled	lay Group	\$1,175,000.00
2.				
3.				
4.				
B. Spouse's Non-Investmes (Dollar amount not required except for a NONE (No reportable)	honoraria.)		the reporting year, complete this sec	tion.
DATE		SOURCE AN	ID TYPE	
1.				
2.				
3.				
4.				
IV. REIMBURSEME! (Includes those to spouse and dependent NONE (No reportable)	children; see pp. 25-27 of e reimbursements.)	filing instructions.)		
SOURCE	<u>DATES</u>	LOCATION	<u>PURPOSE</u>	ITEMS PAID OR PROVIDED
1. St. Lawrence University	5/16/2010	Canton, NY	Commencement Speaker	Transportation and meal
2. Hostos College	6/4/2010	New York, NY	Commencement Speaker	Transportation and meal
Bronx Children's Museum	8/14/2010	Bronx, NY	Speaker	Transportation and meal
Cleveland Legal Aid Society	9/9/2010	Cleveland, OH	Speaker	Transportation and meals
5. New York Historical Society	9/16/2010	New York, NY	Speaker at Nueva New York Exhibit	Transportation and meals
5. Fordham Law Film Festival	10/17/2010	New York, NY	Speaker	Transportation and meal

Page 3 of 7

Name of Person Reporting

Date of Report

Sotomayor, Sonia

05/19/2011

7. University of Paris Ouest 11/17/2010 - Paris, France Speaker Transportation, lodging, meals 11/19/2010

FINANCIAL DISCLOSURE REP Page 4 of 7	ORT Name of Person Reporting Sotomayor, Sonia		Date of Report 05/19/2011
V. GIFTS. (Includes those to spouse and dependent co	hildren; see pp. 28-31 of filing instructions.)		
NONE (No reportable gifts.)			
SOURCE	DESCRIPTION		VALUE
1. Robert Berks Eins	tein Sculpture	, 	\$1,500.00
2.			
3.			
4.		.	
5.			a
VI. LIABILITIES. (Includes those of spouse an NONE (No reportable liabilities.)	d dependent children; see pp. 32-33 of filing instructions.)		
<u>CREDITOR</u>	DESCRIPTION	<u>V</u> A	ALUE CODE
1. American Express Retai	l Credit Card		J
2. Discover Retai	l Credit Card		J
3. Visa Retai	l Credit Card		Т
4. Master Card Retai	Credit Card		J

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5.

Page 5 of 7

Name of Person Reporting	Date of Report
Sotomayor, Sonia	05/19/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.) NONE (No reportable income, assets, or transactions.) C. D. В. Transactions during reporting period Description of Assets Income during Gross value at end (including trust assets) reporting period of reporting period (2) (3) (5) (1) (2) (1) (2) (1) (4) Identity of Place "(X)" after each asset Value Date Value Gain Amount Type (e.g., Value Type (e.g., Code I exempt from prior disclosure Code 2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller div., rent, (if private (A-H) redemption) or int.) (J-P) Code 3 (J-P) (A-H) transaction) (Q-W) Citibank, N.A. Savings T Ε Interest 0 Citibank, N.A. Checking T Α Interest J ING Direct T Open 05/10/10 Interest 4. 5. 6. 7. 8. 9. 10. 11. 12. 13. 14. 15. 16. 17.

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes

(See Columns CI and D3)

3. Value Method Codes (See Column C2)

 $\Lambda = 1.000$ or less F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000 Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500 G = 100,001 - 1,000,000

K =\$15,001 - \$50,000 O = \$500,001 - \$1,000,000

R =Cost (Real Estate Only) V ≃Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000 PI =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

S = Assessment W =Estimated

D =\$5.001 - \$15.000 H2 = More than \$5,000,000 M =\$100,001 - \$250,000

E=\$15.001 - \$50.000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

Page 6 of 7

Name of Person Reporting	Date of Report
Sotomayor, Sonia	05/19/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

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Name of Person Reporting	Date of Report
Sotomayor, Sonia	05/19/2011

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I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sonia Sotomayor

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Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544 AO 10 Rev. 1/2011

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Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sotomayor, Sonia	Supreme Court of the United States	5/12/2011
	5a. Report Type (check appropriate type) Nomination, Date Initial Annual Final 5b. Amended Report 8. On the basis of the information contained in this Report and modifications pertaining thereto, it is, in my opinion, in com with applicable laws and regulations. Reviewing Officer ructions accompanying this form must be followed. Complete art where you have no reportable information. Sign on last p	pliance Date all parts,
I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing NONE (No reportable positions.)	g instructions.)	
<u>POSITION</u>	NAME OF ORGAN	IZATION/ENTITY
1. 2. 3. 4.		
II. AGREEMENTS. (Reporting individual only; see pp. 14-1 NONE (No reportable agreements.)	16 of filing instructions.)	
<u>DATE</u>	PARTIES AND TERMS	
2.		
J		

Page 2 of 7

Name of Person Reporting	Date of Report
Sotomayor, Sonia	5/12/2011

III. NON-INVESTMI	ENT INCOME	C. (Reporting individual and spous	se; see pp. 17-24 of filing instruction.	s.)
A. Filer's Non-Investment	Income			
NONE (No reportab	ole non-investment	income.)		
<u>DATE</u>	·	SOURCE AT	INCOME (yours, not spouse's)	
1. 4/29/2010	Book ad	vance from The Knopf Doubled	day Group	\$1,175,000.00
2.				
3.				_
4.				_
B. Spouse's Non-Investme (Dollar amount not required except for		vere married during any portion of	the reporting year, complete this sec	tion.
✓ NONE (No reportable		income.)		
<u>DATE</u>		SOURCE AN	ND TYPE	
1.				
2.				
3.				
4.				
IV. REIMBURSEME! (Includes those to spouse and dependent NONE (No reportable)	children; see pp. 25-27 o	ffiling instructions.)		
SOURCE	<u>DATES</u>	LOCATION	<u>PURPOSE</u>	ITEMS PAID OR PROVIDED
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6. Fordham Law Film Festival	10/17/2010	New York, NY	Speaker	Transportation and meal

Page 3 of 7

Name of Person Reporting

Date of Report

Sotomayor, Sonia

5/12/2011

7. University of Paris Ouest 11/17/2010 - Paris, France 11/19/2010

Speaker

Transportation, lodging, meals

FINANCIAL DISCLOSU	RE REPORT	Name of Person Reporting		Date of Report
Page 4 of 7		Sotomayor, Sonia		5/12/2011
V. GIFTS. (Includes those to spouse an	nd dependent children; see pp	28-31 of filing instructions.)		
NONE (No reportable gifts	.)			
<u>SOURCE</u>		DESCRIPTION		VALUE
1. Robert Berks	Einstein Sculpture			\$1,500.00
2.				
3.				
4.				
5.				
		۳		
VI. LIABILITIES. (Includes thos	e of spouse and dependent chi	ldren; see pp. 32-33 of filing instructions.)		
NONE (No reportable liabi	lities.)			
CREDITOR		DESCRIPTION	VA	LUE CODE
1. American Express	Retail Credit Card		_	J
2. Discover	Retail Credit Card			J
3. Visa	Retail Credit Card			J
l. Master Card	Retail Credit Card		-	J
			-	

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5.

Page 5 of 7

Name of Person Reporting	Date of Report
Sotomayor, Sonia	5/12/2011

exempt from prior disclosure Code 1 div., rent, (A-H) or int.) Code 2 Method buy, sell, mm/dd/yy Code 2 Code 1 buyer/sell (A-H) (If private the content of										
Citibank, N.A. Checking										
Place (X)" after each asset exempt from prior disclosure exempt from prior			_				Transacti	ons during	g reportin	g period
Citibank, N.A. Checking A Interest J T	· ·	Amount Code 1	Type (e.g., div., rent,	Value Code 2	Value Method Code 3	Type (e.g., buy, sell,	Date	Value Code 2	Gain Code 1	Identity of buyer/seller (if private transaction)
	Citibank, N.A. Savings	Е	Interest	0	Т					
	Citibank, N.A. Checking	A	Interest	J	Т					
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1. Income Gain Codes:
(See Columns R1 and D4

(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes (See Column C2) A =\$1,000 or less F =\$50,001 - \$100,000

J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

Q =Appraisal U =Book Value B =\$1,001 - \$2,500

G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000

R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

Pt =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 E =\$15,001 - \$50,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

Page 6 of 7

Name of Person Reporting	Date of Report
Sotomayor, Sonia	5/12/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

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Name of Person Reporting	Date of Report
Sotomayor, Sonia	5/12/2011

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Signature: s/ Sonia Sotomayor

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSÍFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544