

AO-10
Rev. 8/87

FINANCIAL DISCLOSURE REPORT

Annual Report Due by May 15 from Judicial Officers and
certain Judicial Employees (28 USC App. I, § 301-49)

Person Reporting (Last name, first, middle initial) <u>Smith, Fern M.</u>	Court or Organization <u>U.S. Dist. Ct. N.D. Cal.</u>	Date of Report <u>5/10/88</u>
Title <u>Judge</u>	Date of Entry/Reassignment/Termination (only if initial or final report) <u>May 9, 1988</u>	Reporting Period (Calendar year, or inclusive dates) <u>1/1/87</u> <u>2</u> <u>4/30/88</u>
Home or office address <u>Superior Court - Dept 25</u> <u>Hall of Justice - 850 Bryant St.</u> <u>San Francisco, Cal. 94108</u>		
<p>IMPORTANT NOTES: Please read the instructions accompanying this form. The report should include information pertaining to your spouse and dependent children, if any. Attach additional sheets if needed, identifying each attachment by showing your name, the date of the report, and the section(s) being completed. Complete all sections, checking the NONE box for each section where you have no reportable information. Compare and reconcile this report with last year's and list items in the same order as last year. Type or print clearly. Sign on last page.</p>		

I. POSITIONS. (Reporting individual only; see pp. 15-16 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

 NONE (No reportable positions)

<u>Judge</u>	<u>Superior Court - San Francisco</u>
<u>Trustee</u>	<u>Fern M. Smith Trust</u>

II. AGREEMENTS. (Reporting individual only; see p. 17 of Instructions.)

DATE

PARTIES AND TERMS

 NONE (No reportable agreements)

<u>Sept 1986</u>	<u>Fern M. Smith and Bronson Bronson & McKinnan. Part of</u> <u>Partnership Share as of Sept. 1986 to be made in</u> <u>annual installments over 5 year period.</u>
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III. NON-INVESTMENT INCOME. (Partial disclosure for spouse; see pp. 18-20 of Instructions.)

DATE
(Honorary only)

SOURCE AND TYPE

GROSS INCOME
(Yours, not spouse's) NONE (No reportable non-investment income)

<u>1</u>	<u>State of California - wages</u>	<u>\$ 8,600</u>
<u>2</u>	<u>City & County of San Francisco - wages</u>	<u>\$ 12,760</u>
<u>3</u>	<u>Williams & Burrows, Inc. (S)</u>	<u>\$ -</u>
<u>4</u>	<u>Bronson, Bronson & McKinnan</u>	<u>\$ 4,000</u>
<u>5</u>	<u>[REDACTED]</u>	<u>\$ -</u>

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting <u>Smith, Fern M.</u>	Date of Report <u>5-10-88</u>
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V. REIMBURSEMENTS and GIFTS--transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 20-22 of Instructions.)

SOURCE	DESCRIPTION
<input checked="" type="checkbox"/> NONE (No such reportable reimbursements or gifts)	
1	
2	
3	
4	
5	
6	
7	
8	

VI. OTHER GIFTS. (Includes those to spouse and dependent children; see pp. 20-22 of Instructions.)

SOURCE	DESCRIPTION	VALUE
<input checked="" type="checkbox"/> NONE (No such reportable gifts)		
1		\$
2		\$
3		\$
4		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 22-24 of Instructions.)

CREDITOR	DESCRIPTION	VALUE CODE*
<input type="checkbox"/> NONE (No reportable liabilities)		
1 <u>Doris Hanley</u>	<u>Mtge - Lipparta Vineyard -</u>	<u>P</u>
2 <u>[REDACTED]</u>	<u>(See Part VII)</u>	
3		
4 <u>Sara Z. Burrows (S)</u>	<u>Note (unsecured)</u>	<u>N</u>
5		
6		

* VALUE CODES:	J = \$0 to \$1,000 N = \$50,001 to \$100,000	K = \$1,001 to \$5,000 O = \$100,001 to \$250,000	L = \$5,001 to \$15,000 P = over \$250,000	M = \$15,001 to \$50,000
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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Smith, Fern M.

Date of Report

5-10-88

I. INVESTMENTS and TRUSTS--Income, value, transactions. (Includes those of spouse; partial disclosure for dependent children; see pp. 24-35 of Instructions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B Income during period		C Gross value at end of period		D Transactions during period (Reporting individual and spouse)				
	Amt. Code (A-H)	Type (e.g. div.)	Value, Code ¹ (F-P)	Value Method Code ² (Q-W)	Type (e.g. sold)	If not exempt from disclosure			Identity of buyer/seller (if private transaction)
						Date: Month- Day	Value Code ³ (I-P)	Gain Code ³ (A-H)	
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
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Not applicable per
instructions

1 Income/Gain Codes:	A = exempt (\$0 to \$100) E = \$5,001 to \$15,000 N = \$50,001 to \$100,000	B = \$101 to \$1,000 F = \$15,001 to \$50,000 O = \$100,001 to \$250,000 R = Cost (real estate only) V = Other	C = \$1,001 to \$2,500 G = \$50,001 to \$100,000 P = over \$250,000 S = Assessed value W = Estimated	D = \$2,501 to \$5,000 H = over \$100,000 M = \$15,001 to \$50,000 T = Cash/market
2 Value Codes:	J = exempt (\$0 to \$1,000)	K = \$1,001 to \$5,000	L = \$5,001 to \$15,000	
3 Value Method Codes:	Q = Appraisal U = Book value			

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Smith, Fern M.

Date of Report

5-10-88

II. INVESTMENTS and TRUSTS—income, value, transactions. (Includes those of spouse; partial disclosure for dependent children; see pp. 24-35 of Instructions.)

A. Description of Assets (including trust assets) Place '(X)' after each asset exempt from prior disclosure.	B. Income during period		C. Gross value at end of period		D. Transactions during period (Reporting individual and spouse)			
	Am't Code ¹ (A-H)	Type (e.g., div.)	Value Code ² (J-P)	Value Code ³ (Q-W)	Type (e.g., sold)	If not exempt from disclosure		
						Date: Month- Day	Value/ Code ¹ (J-P)	Gain/ Code ¹ (A-H)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)								
						Not applicable per instructions		
1 109 Monterey Dunes, 2 Watsonville, Ca (Rands)	D	INT	—		Sold			
3 Fiserv (com. stk)	A	-	F	T				
4 T. Rowe Price Fund (mut. fd)	C	DIV ⁺ INT	E	T				
5 Am cap Fund (mut. fd)	D	DIV ⁺ INT	F	T				
6 T. Rowe Price Int'l Bd (M.F.)	F	DIV ⁺ INT	F	T				
7 Scudder Int'l Bd (mut. fd)	E	DIV ⁺ INT	F	T				
8 Transatlantic Bd (mut. fd)	E	DIV ⁺ INT	F	T				
9 1982 - \$27,750 Tice Oaks Assoc (Ltd P't)	B	INT	F	R				
10 West Park Ind Assoc (Ltd P't) 1984 - \$107,000	B	INT	Q	R				
11 McCowan DeLeeuw (Ltd P't) 1994 - \$25,000	G	DIV ⁺ CapGn	P	W				
12 Dreyel Lambert Real Est Assoc (P)	B	INT	F	R				
13 Lipanita Vineyard 200 Las Posadas - Angwin, Ca	A	-	P	Q				
14 Security Pac Bank (Money Mkt Fund #3) New York (Fern M. Smith, Tr.)	F	INT	P	T				
15 Cal. St. 8.190 Bonds (2-1-92)	E	INT	N	T				
16 Cal. St. Gen. Ob. 8.75 Bds (4-1-92)	E	INT	N	T				
17 Sacto. Co. MFR 8.5 Bds (4-1-92)	F	INT	N	T				
18 Fed Net'l Mtg. Assoc. 7.55% (4-1-92)	E	INT	N	T				
19 Fed HomeLn Bks 8.15% (4-2-92)	F	INT	N	T				
20 Union Bank - San Francisco, Fern M. Smith, Tr. (King of Money Mkt)	C	INT	N	T				

1 Income/Gain Codes: A = exempt (\$0 to \$100)

E = \$5,001 to \$15,000

J = exempt (\$0 to \$1,000)

N = \$50,001 to \$100,000

O = Appraisal

U = Book value

B = \$101 to \$1,000

F = \$15,001 to \$50,000

K = \$1,001 to \$5,000

Q = \$100,001 to \$250,000

R = Cost (real estate only)

V = Other

C = \$1,001 to \$2,500

G = \$50,001 to \$100,000

L = \$5,001 to \$15,000

P = over \$250,000

S = Assessed value

W = Estimated

D = \$2,501 to \$5,000

H = over \$100,000

M = \$15,001 to \$50,000

T = Cash/market

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Smith, Fern M

Date of Report

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	Amt. Code ¹ (A-H)	Type (e.g. div.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	Type (e.g. sold)	If not exempt from disclosure		
						Date: Month- Day	Value Code ⁴ (J-P)	Gain Code ⁵ (A-H)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)								
1								
2 ² Acct of Fern M. Smith - S.F. Drexel Burnham Money Mkt.	C	Int	F	T				
3 ³ com. stk. Williamet Burrows Ins (S)	A	-	A	W				
4 ⁴ Burrows Grp. Partnership (S)	D	Int	F	W				
5 ⁵ com. stk. Gateway Pac. Coast. Co. (S)	E	Int	A	W				
6 ⁶ Ann Royal Assoc. - Ltd. Pt. (S)	A	-	E	W				
7 ⁷ F. Robert Burrows (S) Wells Fargo Bank - Savings & Bkng	C	Int	-	-	closed			
8 ⁸ Burrows Em. Tr. (S)	E	Int	0	W				
9 ⁹ Chalone Vineyards - Com. Stk (S)	A	-	D	T				
10 ¹⁰ Silicon Valley Bank - Com. Stk (S)	A	-	E	T				
11 ¹¹ Ad. Micro. Dev. - Com. Stk (S)	A	-	D	T				
12 ¹² F. Robert Burrows (S) Franklin Ed. Money Mkt Acct	B	Int	D	T				
13 ¹³ F. Robert Burrows (S) Bank of S.F. - Ceing = Mkt Plt	B	Int	E	T				
14 ¹⁴ com. stk. Continental Pac. Minerals (S)	A	-	C	T				
15 ¹⁵ San Antonio Plaza - Ltd. Pt. (S)	B	Div.			Sold.			
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1 Income/Gain Codes:	A = exempt (\$0 to \$100) E = \$5,001 to \$15,000	B = \$101 to \$1,000 F = \$15,001 to \$50,000	C = \$1,001 to \$2,500 G = \$25,001 to \$100,000	D = \$2,501 to \$5,000 H = over \$100,000				
2 Value Codes:	J = exempt (\$0 to \$1,000) N = \$50,001 to \$100,000	K = \$1,001 to \$5,000 O = \$100,001 to \$250,000	L = \$5,001 to \$15,000 P = over \$250,000	M = \$15,001 to \$50,000				
3 Value Method Codes:	Q = Appraisal U = Book value	R = Cost (real estate only) V = Other	S = Assessed value W = Estimated	T = Cash/market				

5. Please complete the attached financial net worth statement in detail (Add schedules as called for).

Financial statement and schedules attached.

6. Have you ever held a position or played a role in a political campaign? If so, please identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

No.

3/31/88

Financial Statement Schedule - Eun M Smith
Listed Securities

Fidelity	20,000
T. Rowe Price Fund (Def. Comp. Plan)	9,000
Scudder Int'l Fund (IRRA)	31,000
Ameap Fund "	29,000
T. Rowe Price Int'l Fund (Def. Ben. Known Plan)	26,000
Scudder Int'l Fund " " "	24,000
Transatlantic Fund " " "	17,000
	<u>156,000</u>

Unlisted Securities

Tule Oak Assoc (Gen. Plan's ship)	27,500
West Park Ind. Assoc. "	100,000
Mc Cowen De Lauer "	250,000
Draper Lambert Real Est Assoc. "	<u>25,000</u>
	402,500

Real Estate Owned

3305 Washington	650,000
Depante Vineyards	<u>2,000,000</u>
	2,650,000

Real Estate Mtdg Payable

3305 Washington (Great Western Bank)	140,000
Depante Vineyards (Doris Hanley)	<u>725,000</u>
	865,000

Short Securities on separate attached schedule (U.S. ...)