

1 UNITED STATES DISTRICT COURT  
2 WESTERN DISTRICT OF WASHINGTON  
3 SEATTLE DIVISION

4 SECURITIES AND EXCHANGE COMMISSION,

5 Plaintiff,

6 v.

7 ISHAN WAHI, NIKHIL WAHI, and SAMEER  
8 RAMANI,

9 Defendants.

Civil Action No. 2:22-cv-1009  
FINAL JUDGMENT AS TO  
DEFENDANT ISHAN WAHI

10 The U.S. Securities and Exchange Commission (the “Commission”) having filed a  
11 Complaint and Defendant Ishan WahI (“Defendant”) having entered a general appearance;  
12 consented to the Court’s jurisdiction over Defendant and the subject matter of this action;  
13 consented to entry of this Final Judgment; waived findings of fact and conclusions of law; and  
14 waived any right to appeal from this Final Judgment.

15  
16 I.

17 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is  
18 permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the  
19 Securities Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)] and Rule 10b-5  
20 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of  
21 interstate commerce, or of the mails, or of any facility of any national securities exchange, in  
22 connection with the purchase or sale of any security:

- 23 (a) to employ any device, scheme, or artifice to defraud;  
24 (b) to make any untrue statement of a material fact or to omit to state a material fact  
25 necessary in order to make the statements made, in the light of the circumstances  
26 under which they were made, not misleading; or

1 (c) to engage in any act, practice, or course of business which operates or would  
2 operate as a fraud or deceit upon any person.

3 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in  
4 Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who  
5 receive actual notice of this Final Judgment by personal service or otherwise: (a) Defendant's  
6 officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or  
7 participation with Defendant or with anyone described in (a).

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9 II.

10 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Ishan  
11 Wahi is liable for disgorgement of ill-gotten gains as a result of the conduct alleged in the  
12 Complaint, plus prejudgment interest thereon, which shall be deemed satisfied as to Defendant  
13 by the Consent Preliminary Order of Forfeiture as to Specific Property dated February 7, 2023,  
14 to be included in the Judgment entered against Defendant in *United States v. Ishan Wahi*, No.  
15 1:22-cr-392 (S.D.N.Y.) [ECF No. 78].

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17 III.

18 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that the  
19 Consent is incorporated herein with the same force and effect as if fully set forth herein, and that  
20 Defendant shall comply with all of the undertakings and agreements set forth therein.

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22 IV.

23 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes  
24 of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, the  
25 allegations in the complaint are true and admitted by Defendant, and further, any debt for  
26 disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this

1 Final Judgment or any other judgment, order, consent order, decree or settlement agreement  
2 entered in connection with this proceeding, is a debt for the violation by Defendant of the federal  
3 securities laws or any regulation or order issued under such laws, as set forth in Section  
4 523(a)(19) of the Bankruptcy Code, 11 U.S.C. § 523(a)(19).  
5

6 V.

7 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that this Court  
8 shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final  
9 Judgment.  
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11 VI.

12 There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil  
13 Procedure, the Clerk is ORDERED to enter this Final Judgment forthwith and without further  
14 notice.  
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16 IT IS SO ORDERED.

17 Dated this 1st day of June 2023.  
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20 \_\_\_\_\_  
21 Tana Lin  
22 United States District Judge  
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