

UNITED STATES DISTRICT COURT FOR THE
SOUTHERN DISTRICT OF NEW YORK

HARRINGTON GLOBAL OPPORTUNITY FUND,
LIMITED,

Plaintiff

v.

CIBC WORLD MARKETS CORP., CIBC WORLD
MARKETS INC, BANK OF AMERICA SECURITIES,
INC., MERRILL LYNCH CANADA INC., MERRILL
LYNCH PROFESSIONAL CLEARING CORP., TD
SECURITIES, INC., TD SECURITIES(USA) LLC,
CORMARK SECURITIES, INC., UBS FINANCIAL
SERVICES, INC., UBS SECURITIES CANADA, INC.,
SOCIETE GENERALE CAPITALE CANADA, INC., SG
AMERICAS SECURITIES, LLC, AND JOHN DOE'S 1
THROUGH 10,

Defendants.

RULE 7.1 STATEMENT

Pursuant to Federal Rules of Civil Procedure 7.1, and to enable judges and magistrate judges of the court to evaluate possible disqualification or recusal, the undersigned counsel of record for a private (non-governmental) party certifies that Plaintiff Harrington Global Opportunity Fund, Limited (“Plaintiff”), is not a publicly held company, does not have any corporate parents, subsidiaries, or affiliates which are publicly held, nor does any publicly held corporation own 10 percent or more of the stock of the Plaintiff.

Dated: January 27, 2021
New York, New York

Respectfully submitted,

WARSHAW BURSTEIN, LLP

By: /s/ Alan M. Pollack

Alan M. Pollack

575 Lexington Avenue, 7th Floor

New York, New York 10022

(212) 984-7700

apollack@wbny.com

Attorneys for Plaintiff