

**UNITED STATES BANKRUPTCY COURT  
DISTRICT OF COLORADO**

In re: UNITED WESTERN BANCORP, INC.                    §     Case No. 12-13815  
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Debtor(s)

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**CHAPTER 7 TRUSTEE’S FINAL ACCOUNT AND DISTRIBUTION  
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY  
ADMINISTERED AND APPLICATION TO BE DISCHARGED (TDR)**

Simon E. Rodriguez, Trustee, chapter 7 trustee, submits this Final Account, Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee’s Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee’s control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u>  \$6,006,383.76  </u> <i>(without deducting any secured claims)</i>	Assets Exempt: <u>  N/A  </u>
Total Distributions to Claimants: <u>  \$222,165.91  </u>	Claims Discharged Without Payment: <u>  N/A  </u>
Total Expenses of Administration: <u>  \$1,019,610.56  </u>	

3) Total gross receipts of \$1,241,776.47 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$0.00 (see **Exhibit 2**), yielded net receipts of \$1,241,776.47 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from <b>Exhibit 3</b> )	\$0.00	\$28,025.15	\$17,114.29	\$0.00
PRIORITY CLAIMS:				
CHAPTER 7 ADMIN. FEES AND CHARGES (from <b>Exhibit 4</b> )	\$0.00	\$1,489,013.71	\$992,438.41	\$992,438.41
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from <b>Exhibit 5</b> )	\$0.00	\$28,116.10	\$27,172.15	\$27,172.15
PRIORITY UNSECURED CLAIMS (from <b>Exhibit 6</b> )	\$3,455.59	\$31,155.13	\$17,179.28	\$17,179.28
GENERAL UNSECURED CLAIMS (from <b>Exhibit 7</b> )	\$53,293,857.67	\$143,245,242.07	\$48,505,698.96	\$204,986.63
<b>TOTAL DISBURSEMENTS</b>	<b>\$53,297,313.26</b>	<b>\$144,821,552.16</b>	<b>\$49,559,603.09</b>	<b>\$1,241,776.47</b>

4) This case was originally filed under chapter 7 on 03/02/2012, and it was converted to chapter 7 on 04/15/2013. The case was pending for 128 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 11/22/2023

By: /s/ Simon E. Rodriguez  
Trustee

**STATEMENT** This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO  
FINAL ACCOUNT**

**EXHIBIT 1 – GROSS RECEIPTS**

<b>DESCRIPTION</b>	<b>UNIFORM TRAN. CODE<sup>1</sup></b>	<b>\$ AMOUNT RECEIVED</b>
OFFICE EQUIP., FURNISHINGS, AND SUPPLIES - SCHED	1129-000	\$4,212.50
US Bank 103659634515	1129-000	\$143,132.37
US Bank 103681191534	1129-000	\$4,803.18
Wells Fargo	1129-000	\$2,752.57
American Express preference	1141-000	\$5,370.00
LathamWatkins preference	1141-000	\$100,000.00
PREFERENTIAL PAYMENTS - GREENBERG	1141-000	\$25,000.00
PREFERENTIAL PAYMENTS - Snider	1141-000	\$8,000.00
Advances made to Matrix Funding Corp. (as of Mar	1229-000	\$923,403.07
Bankruptcy Claim Case no 98-9338	1229-000	\$2,106.62
Claim from BK 09-71141 Wilder (IL)	1229-000	\$13,168.62
Dividend BK CS No 13-18186	1229-000	\$18.74
INTERESTS IN INSURANCE POLICIES	1229-000	\$6,977.00
INTERESTS IN INSURANCE POLICIES	1229-000	\$2,831.80
<b>TOTAL GROSS RECEIPTS</b>		<b>\$1,241,776.47</b>
<i><sup>1</sup>The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.</i>		

**EXHIBIT 2 – FUNDS PAID TO DEBTOR & THIRD PARTIES**

<b>PAYEE</b>	<b>DESCRIPTION</b>	<b>UNIFORM TRAN. CODE</b>	<b>\$ AMOUNT PAID</b>
	None		

**EXHIBIT 3 - SECURED CLAIMS**

<b>Claim NO.</b>	<b>CLAIMANT</b>	<b>UNIFORM TRAN. CODE</b>	<b>CLAIMS SCHEDULED (from Form 6D)</b>	<b>CLAIMS ASSERTED</b>	<b>CLAIMS ALLOWED</b>	<b>CLAIMS PAID</b>
10-1A	City and County of Denver/Treasury	4800-000	NA	\$10,910.86	\$0.00	\$0.00
37-1A	City and County of Denver/Treasury	4800-000	NA	\$17,114.29	\$17,114.29	\$0.00
N/F	JPMorgan Chase Bank, N.A. Commercial Banking	4110-000	\$0.00	NA	NA	NA
<b>TOTAL SECURED</b>			<b>\$0.00</b>	<b>\$28,025.15</b>	<b>\$17,114.29</b>	<b>\$0.00</b>

**EXHIBIT 4 – CHAPTER 7 ADMINISTRATIVE FEES and CHARGES**

<b>PAYEE</b>	<b>UNIFORM TRAN. CODE</b>	<b>CLAIMS SCHEDULED</b>	<b>CLAIMS ASSERTED</b>	<b>CLAIMS ALLOWED</b>	<b>CLAIMS PAID</b>
Trustee, Fees - Simon E. Rodriguez	2100-000	NA	\$60,503.29	\$60,503.29	\$60,503.29
Trustee, Expenses - Simon E. Rodriguez	2200-000	NA	\$5,022.48	\$5,022.48	\$5,022.48
Auctioneer Fees - Dickensheet and Associates, Inc	3610-000	NA	\$631.87	\$631.87	\$631.87
Auctioneer Expenses - Dickensheet and Associates, Inc	3620-000	NA	\$1,450.00	\$1,450.00	\$1,450.00
Charges, U.S. Bankruptcy Court	2700-000	NA	\$1,750.00	\$1,750.00	\$1,750.00
Fees, United States Trustee	2950-000	NA	\$2,600.00	\$2,600.00	\$2,600.00
Costs to Secure/Maintain Property - Dickensheet and Associates, Inc	2420-000	NA	\$4,250.00	\$4,250.00	\$4,250.00
Bank Service Fees - Mechanics Bank	2600-000	NA	\$0.00	\$0.00	\$0.00
Bank Service Fees - Rabobank, N.A.	2600-000	NA	\$42,505.24	\$42,505.24	\$42,505.24
Income Taxes - Internal Revenue Service (post-petition) - INTERNAL REVENUE SERVICE	2810-000	NA	\$496,575.30	\$0.00	\$0.00
Attorney for Trustee Fees (Other Firm) - Ireland Stapleton Pryor & Pascoe PC	3210-000	NA	\$740,601.00	\$740,601.00	\$740,601.00
Attorney for Trustee Expenses (Other Firm) - Ireland Stapleton Pryor & Pascoe PC	3220-000	NA	\$2,757.52	\$2,757.52	\$2,757.52
Accountant for Trustee Fees (Other Firm) - Dennis and Company, PC	3410-000	NA	\$111,214.00	\$111,214.00	\$111,214.00
Accountant for Trustee Fees (Other Firm) - SL Biggs	3410-000	NA	\$13,563.00	\$13,563.00	\$13,563.00
Special Accountant for Trustee Fees - DENNIS D RUSSELL, CPA, LLC	3410-580	NA	\$5,000.00	\$5,000.00	\$5,000.00
Accountant for Trustee Expenses (Other Firm) - Dennis and Company, PC	3420-000	NA	\$436.40	\$436.40	\$436.40
Accountant for Trustee Expenses (Other Firm) - SL Biggs	3420-000	NA	\$70.00	\$70.00	\$70.00
Special Accountant for Trustee Expenses - DENNIS D RUSSELL, CPA, LLC	3420-590	NA	\$83.61	\$83.61	\$83.61
<b>TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES</b>		<b>NA</b>	<b>\$1,489,013.71</b>	<b>\$992,438.41</b>	<b>\$992,438.41</b>

**EXHIBIT 5 – PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES**

<b>PAYEE</b>	<b>UNIFORM TRAN. CODE</b>	<b>CLAIMS SCHEDULED</b>	<b>CLAIMS ASSERTED</b>	<b>CLAIMS ALLOWED</b>	<b>CLAIMS PAID</b>
Prior Chapter Other State or Local Taxes - Adams County Treasurer	6820-000	NA	\$409.27	\$0.00	\$0.00
Other State and Local Taxes (Chapter 12 or 13) - Oregon Department of Revenue	6820-860	NA	\$164.85	\$164.85	\$164.85
Prior Chapter Other State or Local Taxes - Oregon Department of Revenue	6820-000	NA	\$534.68	\$0.00	\$0.00
Attorney for D-I-P Fees - SENDER WASSERMAN WADSWORTH, P.C.	6210-160	NA	\$13,136.57	\$13,136.57	\$13,136.57
Attorney for D-I-P Expenses - SENDER WASSERMAN WADSWORTH, P.C.	6220-170	NA	\$7,578.23	\$7,578.23	\$7,578.23
Prior Chapter Accountant for Trustee/DIP Fees (Other Firm) - Crowe Horwath LLP	6410-000	NA	\$6,292.50	\$6,292.50	\$6,292.50
<b>TOTAL PRIOR CHAPTER ADMIN. FEES AND CHARGES</b>		<b>\$0.00</b>	<b>\$28,116.10</b>	<b>\$27,172.15</b>	<b>\$27,172.15</b>

**EXHIBIT 6 – PRIORITY UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
1-2A	Colorado Department of Revenue	5800-000	\$0.00	\$0.00	\$0.00	\$0.00
4-6A	Internal Revenue Service	5800-000	\$0.00	\$0.00	\$0.00	\$0.00
8-1	Donald G. Zook, Jr.	5400-000	\$0.00	\$3,000.00	\$0.00	\$0.00
10-1B	City and County of Denver/Treasury	5800-000	\$0.00	\$10,910.86	\$0.00	\$0.00
12-1 Pri	Oregon Department of Revenue	5800-000	\$0.00	\$64.99	\$64.99	\$64.99
37-1B	City and County of Denver/Treasury	5800-000	NA	\$17,114.29	\$17,114.29	\$17,114.29
44-1B	Oregon Department of Revenue	5800-000	NA	\$64.99	\$0.00	\$0.00
N/F	Colorado Department Of Labor And Employm	5800-000	\$3,455.59	NA	NA	NA
<b>TOTAL PRIORITY UNSECURED CLAIMS</b>			<b>\$3,455.59</b>	<b>\$31,155.13</b>	<b>\$17,179.28</b>	<b>\$17,179.28</b>

**EXHIBIT 7 – GENERAL UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
1-2B	Colorado Department of Revenue	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
2-1	Wells Fargo Bank, N.A. as guarantee trustee	7100-000	\$0.00	\$8,239,453.32	\$8,239,453.32	\$34,820.93
3-1	Wells Fargo Bank, N.A. as guarantee trustee	7100-000	\$0.00	\$10,418,789.28	\$10,418,789.28	\$44,031.07
4-6B	Internal Revenue Service	7100-000	\$0.00	\$449.85	\$449.85	\$1.90
4-6C	Internal Revenue Service	7300-000	\$0.00	\$999.47	\$999.47	\$0.00
5-1	Colorado Department of Labor and Employment	7100-000	\$10,307.67	\$10,255.56	\$10,255.56	\$43.34
7-1	Bruce Slovin	7100-000	\$0.00	\$441,000.00	\$0.00	\$0.00
9-1	Linda A. Selub	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
11-1	Sandler O'Neill & Partners, L.P.	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
12-1 PEN	Oregon Department of Revenue	7300-000	\$0.00	\$37.50	\$37.50	\$0.00
13-1	Microsoft Corporation and Microsoft Licensing, GP	7100-000	\$0.00	\$73,309.30	\$73,309.30	\$309.82
14-2	JPMorgan Chase Bank, N.A.	7100-000	\$12,310,231.00	\$13,682,784.27	\$13,682,784.27	\$57,825.12
15-1	Michael McCloskey	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
16-1	James H. Bullock	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
17-1	Bernard C. Darre	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
18-1	Guy A. Gibson	7100-000	\$0.00	\$0.00	\$0.00	\$0.00



19-1	Jeffrey Leeds	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
20-1	Clover Partners, L.P.	7100-000	\$0.00	\$1,846,615.00	\$0.00	\$0.00
21-1	Lester Ravitz	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
22-1	MHC Mutual Conversion Fund, L.P.	7100-000	\$0.00	\$440,559.00	\$0.00	\$0.00
23-1	Dennis Santistevan	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
24-1	Clover Partners L.P. and MHC Mutual Conversion Fund L.P., on behalf of "Class Claimants"	7100-000	\$0.00	\$92,000,000.00	\$0.00	\$0.00
25-1	Robert T. Slezak	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
26-1	Scot T. Wetzel	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
27-1	William Snider	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
28-1	Federal Deposit Insurance Corporation, as Receiver of United Western Bank	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
29-1	Wilmington Trust Company, Trustee	7100-000	\$0.00	\$15,496,819.73	\$15,496,819.73	\$65,491.45
30-1	FBR Capital Markets & Co.	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
31-1	Wendy J. Fisk	7100-000	\$0.00	\$0.00	\$0.00	\$0.00
32-1	BuckleySandler, LLP	7100-000	\$0.00	\$405,205.62	\$405,205.62	\$1,712.45
33-1	Qwest Corporation, dba CenturyLink QC	7100-000	NA	\$22,237.09	\$22,237.09	\$93.98
34-1	Qwest Corporation, dba CenturyLink QC	7100-000	\$0.00	\$1,612.17	\$1,612.17	\$6.81
35-1	Qwest Communications Company, LLC, dba CenturyLink QCC	7100-000	\$0.00	\$136.84	\$136.84	\$0.59
36-1	700 17th Street Operating, LLC	7100-000	\$0.00	\$48,238.96	\$48,238.96	\$0.40

36-1	Kenneth S. Gardner - 700 17th Street Operating, LLC	7100-001	NA	NA	NA	\$203.46
39-1	American Express Centurion Bank	7100-000	\$0.00	\$4,335.55	\$4,335.55	\$18.32
40-1	American Express Centurion Bank	7100-000	\$0.00	\$1,034.45	\$1,034.45	\$4.37
41-1	Latham & Watkins	7100-000	\$0.00	\$100,000.00	\$100,000.00	\$422.62
42-2	Jeffrey A/ Weinman, Chapter 7 Trustee of UWT Inc.	7200-000	\$0.00	\$0.00	\$0.00	\$0.00
44-1C	Oregon Department of Revenue	7300-000	NA	\$37.50	\$0.00	\$0.00
W-PEN	INTERNAL REVENUE SERVICE	7300-000	\$471,000.00	\$11,331.61	\$0.00	\$0.00
N/F	Equity Trust Company	7100-000	\$60,319.00	NA	NA	NA
N/F	Marathon Document Solutions	7100-000	NA	NA	NA	NA
N/F	Matrix Bancorp Capital Trust II	7100-000	\$12,400,000.00	NA	NA	NA
N/F	Matrix Bancorp Capital Trust VI Global Debt Services	7100-000	\$10,310,000.00	NA	NA	NA
N/F	Matrix Bancorp Capital Trust VIII c/o Wells Fargo Bank, N.A.	7100-000	\$7,732,000.00	NA	NA	NA
N/F	Regional Diversified Funding 2004-1 Ltd Wells Fargo Bank, N.	7100-000	\$10,000,000.00	NA	NA	NA
<b>TOTAL GENERAL UNSECURED CLAIMS</b>			<b>\$53,293,857.67</b>	<b>\$143,245,242.07</b>	<b>\$48,505,698.96</b>	<b>\$204,986.63</b>

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

Exhibit 8

Page: 1

Case No.: 12-13815

Trustee Name: (260290) Simon E. Rodriguez, Trustee

Case Name: UNITED WESTERN BANCORP, INC.

Date Filed (f) or Converted (c): 04/15/2013 (c)

§ 341(a) Meeting Date: 05/23/2013

For Period Ending: 11/22/2023

Claims Bar Date: 07/23/2013

1	2	3	4	5	6	
Ref. #	Asset Description (Scheduled And Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property Formally Abandoned OA=§554(a) abandon.	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1	US Bank 103681191534	4,803.18	4,803.18		4,803.18	FA
2	US Bank 103659634515	143,132.37	143,132.37		143,132.37	FA
3	Wells Fargo	2,752.57	2,752.57		2,752.57	FA
4	INTERESTS IN INSURANCE POLICIES (u) Orig. Asset Memo: unused health care premium;	2,831.80	2,831.80		2,831.80	FA
5	Claim from BK 09-71141 Wilder (IL) (u)	13,168.62	13,168.62		13,168.62	FA
6	OFFICE EQUIP., FURNISHINGS, AND SUPPLIES - SCHED	Unknown	0.00		4,212.50	FA
7	LathamWatkins preference	100,000.00	100,000.00		100,000.00	FA
8	American Express preference Orig. Asset Memo: please see docket number 415;	5,370.00	5,370.00		5,370.00	FA
9	INTERESTS IN INSURANCE POLICIES (u) Orig. Asset Memo: return of "at risk" premium coverage;	6,977.00	6,977.00		6,977.00	FA
10	BANK ACCOUNTS US Bank (u) Orig. Asset Memo: previously listed in Chapter 11;	200,898.75	200,898.75		0.00	FA
11	BANK ACCOUNTS UHC PLAN Medical (u) Orig. Asset Memo: previously listed in Chapter 11;	53,000.00	53,000.00		0.00	FA
12	BANK ACCOUNTS UHC PLAN Medical (u) Orig. Asset Memo: previously listed in Chapter 11;	0.00	0.00		0.00	FA
13	* See attached addendum entitled "Corporate Stru (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	0.00	0.00		0.00	FA
14	Account No. 102089534 with First Citizens Bank (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	1,675.34	1,675.34		0.00	FA
15	Account No. 103679944399 with US Bank UWBK (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	116,390.15	116,390.15		0.00	FA
16	Account No. 2680765811 with Wells Fargo UWBK Che (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	80,900.00	80,900.00		0.00	FA

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

Exhibit 8

Page: 2

Case No.: 12-13815

Trustee Name: (260290) Simon E. Rodriguez, Trustee

Case Name: UNITED WESTERN BANCORP, INC.

Date Filed (f) or Converted (c): 04/15/2013 (c)

§ 341(a) Meeting Date: 05/23/2013

For Period Ending: 11/22/2023

Claims Bar Date: 07/23/2013

1	2	3	4	5	6	
Ref. #	Asset Description (Scheduled And Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property Formally Abandoned OA=§554(a) abandon.	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
17	Account No. 7580602147 with Wells Fargo UWBK MMD (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	650,026.71	650,026.71		0.00	FA
18	UWBK - Equi-Mor Holdings, Inc.: Stock representi (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	Unknown	0.00		0.00	FA
19	UWBK - Matrix Bancorp Capital Trust II.: Common (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	Unknown	0.00		0.00	FA
20	UWBK - Matrix Bancorp Capital Trust VI.: Common (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	Unknown	0.00		0.00	FA
21	UWBK - Matrix Bancorp Capital Trust VIII.: Commo (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	Unknown	0.00		0.00	FA
22	UWBK - Matrix Bancorp Trading, Inc.: Stock repres (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	Unknown	0.00		0.00	FA
23	UWBK - The Vintage Group, Inc: Stock representin (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	Unknown	0.00		0.00	FA
24	UWBK - United Western Administrative Services, I (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	Unknown	0.00		0.00	FA
25	UWBK - United Western Bank: Stock representing 1 (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	Unknown	0.00		0.00	FA
26	UWBK - UW Asset Corp.: Stock representing 100% o (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	Unknown	0.00		0.00	FA
27	UWBK - UWBK Colorado Fund, LLC.: Member interest (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	Unknown	0.00		0.00	FA

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

Exhibit 8

Page: 3

Case No.: 12-13815

Trustee Name: (260290) Simon E. Rodriguez, Trustee

Case Name: UNITED WESTERN BANCORP, INC.

Date Filed (f) or Converted (c): 04/15/2013 (c)

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28	representing approximately 44% ownership of UWBK (u) <small>Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331</small>	Unknown	0.00		0.00	FA
29	UWBK - UWT, Inc.: Stock representing 100% owners (u) <small>Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331</small>	Unknown	0.00		0.00	FA
30	Advances made to Matrix Funding Corp. (as of Mar (u) <small>Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331</small>	2,374,093.79	2,374,093.79		923,403.07	FA
31	Leasehold improvements - Accounts 184000 and 190 (u) <small>Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331</small>	0.00	0.00		0.00	FA
32	Trade and accounts payable paid on behalf of Mat (u) <small>Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331</small>	56,867.81	56,867.81		0.00	FA
33	Potential proceeds from lawsuit against OCC (u) <small>Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331</small>	Unknown	0.00		0.00	FA
34*	Tax refund from the United States Internal Reven (u) <small>Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331 (See Footnote)</small>	4,846,625.00	765,291.00		0.00	FA
35	Computer Hardware - Account 183000 and 189000 at (u) <small>Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331</small>	Unknown	0.00		0.00	FA
36	Computer Software - Account 183500 and 189500 at (u) <small>Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331</small>	Unknown	0.00		0.00	FA
37	Furniture and Fixtures - Accounts 182000 and 188 (u) <small>Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331</small>	Unknown	0.00		0.00	FA
38	Office equipment - Account 181000 and 187000 at (u) <small>Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331</small>	Unknown	0.00		0.00	FA

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

Exhibit 8

Page: 4

Case No.: 12-13815

Trustee Name: (260290) Simon E. Rodriguez, Trustee

Case Name: UNITED WESTERN BANCORP, INC.

Date Filed (f) or Converted (c): 04/15/2013 (c)

§ 341(a) Meeting Date: 05/23/2013

For Period Ending: 11/22/2023

Claims Bar Date: 07/23/2013

1	2	3	4	5	6
Asset Description (Scheduled And Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property Formally Abandoned OA=§554(a) abandon.	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
Ref. #					
39	UWBK - Account 180900: Fixed asset pending \$48,4 (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	0.00	0.00	0.00	FA
40	General Office inventory and office items locate (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	Unknown	0.00	0.00	FA
41	Leasehold improvements - On books at: UWBK \$30,6 (u) Orig. Asset Memo: Not originally scheduled; Imported from Amended Doc#: 331	Unknown	0.00	0.00	FA
42	PREFERENTIAL PAYMENTS - Snider	8,000.00	8,000.00	8,000.00	FA
43	PREFERENTIAL PAYMENTS - GREENBERG	25,000.00	25,000.00	25,000.00	FA
44	Bankruptcy Claim Case no 98-9338 (u) Michigan Bankruptcy	2,106.62	2,106.62	2,106.62	FA
45	Dividend BK CS No 13-18186 (u)	18.74	18.74	18.74	FA
<b>45</b>	<b>Assets Totals (Excluding unknown values)</b>	<b>\$8,694,638.45</b>	<b>\$4,613,304.45</b>	<b>\$1,241,776.47</b>	<b>\$0.00</b>

RE PROP# 34 tax refund is currently subject to an Appeal 10th Circuit. Ruling date unknown. 10th circuit ruled refund belongs to FDIC \$4,081,334.00 returned to FDIC by clerk of court

**Major Activities Affecting Case Closing:**

formulating deconsolidation strategy, awaiting final tax return and professional fee applications

. Awaiting ruling on FDIC motion to withdraw claim. Need to file motion to destroy books and records, need final tax return, need final professional fee apps, all remaining assets abandoned as no value to estate working with FDIC to "deconsolidate" entities to shift the tax filing of estate to FDIC. Correspondence with IRS ongoing to take proper steps to deconsolidate. Once deconsolidation process is accepted by IRS, final tax return will be filed. Timing is unknown as IRS is 16 weeks behind in corresponding. On April 6, 2021 FDIC provided estate with pro forma 2021 data for tax return. When 2021 taxes are filed, special accountant will coordinate with IRS to start the deconsolidation process. Once the estate is notified that the deconsolidation has been achieved, 2022 taxes will be filed and the case will be ready for a TFR. awaiting final tax return and final fee application from professionals

8/21/2023 call from Meredith Van Horn Adams County attorney 720-523-6323 county paid in full will return check

Initial Projected Date Of Final Report (TFR): 12/31/2018

Current Projected Date Of Final Report (TFR): 08/31/2023 (Actual)

## Form 2

Exhibit 9

Page: 1

## Cash Receipts And Disbursements Record

Case No.: 12-13815 Trustee Name: Simon E. Rodriguez, Trustee (260290)  
Case Name: UNITED WESTERN BANCORP, INC. Bank Name: Mechanics Bank  
Taxpayer ID #: \*\*\_\*\*\*3716 Account #: \*\*\*\*\*2066 Checking Account  
For Period Ending: 11/22/2023 Blanket Bond (per case limit): \$55,455,715.00  
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
05/10/13	{3}	Wells Fargo	bank account	1129-000	2,752.57		2,752.57
05/10/13	{1}	US Bank	bank balance	1129-000	4,803.18		7,555.75
05/10/13	{2}	US Bank	bank balances	1129-000	143,132.37		150,688.12
05/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		130.04	150,558.08
06/12/13	{4}	UnitedHealthcare Benefit Services	return of unused premium	1229-000	505.32		151,063.40
06/28/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		202.45	150,860.95
07/10/13	{4}	United Healthcare	return of insurance premium	1229-000	1,163.24		152,024.19
07/20/13	{5}	Mariann Pogge	claim payment case 09-71141 Wilder (IL)	1229-000	13,168.62		165,192.81
07/24/13	{4}	United HealthCare	return of insurance premium	1229-000	1,163.24		166,356.05
07/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		245.19	166,110.86
08/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		238.92	165,871.94
09/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		230.62	165,641.32
10/19/13	{6}	Dickensheet and Associates, Inc	auction proceeds	1129-000	4,212.50		169,853.82
10/24/13	101	Dickensheet and Associates, Inc	fees	3610-000		631.87	169,221.95
10/24/13	102	Dickensheet and Associates, Inc	costs	3620-000		1,450.00	167,771.95
10/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		263.31	167,508.64
11/29/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		224.87	167,283.77
12/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		264.68	167,019.09
01/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		248.23	166,770.86
02/28/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		223.88	166,546.98
03/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		231.56	166,315.42
04/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		255.19	166,060.23
05/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		238.85	165,821.38
06/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		230.55	165,590.83
07/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		262.01	165,328.82
08/29/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		229.87	165,098.95
09/03/14	{7}	Latham Watkins	preference recovery	1141-000	100,000.00		265,098.95
09/12/14	103	SENDER WASSERMAN WADSWORTH, P.C.	please see docket 366	6210-160		13,136.57	251,962.38
09/12/14	104	SENDER WASSERMAN WADSWORTH, P.C.	please see docket no 366	6220-170		7,578.23	244,384.15
09/23/14	{9}	Aon Risk Services	return of premium of "at risk" policy	1229-000	6,977.00		251,361.15
09/23/14	{8}	American Express Travel Related Services Company LTD	preference proceeds	1141-000	5,370.00		256,731.15

Page Subtotals: \$283,248.04 \$26,516.89

## Form 2

Exhibit 9

Page: 2

## Cash Receipts And Disbursements Record

Case No.: 12-13815 Trustee Name: Simon E. Rodriguez, Trustee (260290)  
Case Name: UNITED WESTERN BANCORP, INC. Bank Name: Mechanics Bank  
Taxpayer ID #: \*\*\_\*\*\*3716 Account #: \*\*\*\*\*2066 Checking Account  
For Period Ending: 11/22/2023 Blanket Bond (per case limit): \$55,455,715.00  
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
09/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		369.59	256,361.56
10/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		381.03	255,980.53
11/28/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		331.37	255,649.16
12/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		416.75	255,232.41
01/27/15	105	Kimberly H. Tyson Esq.	please see docket no 435	3210-000		80,000.00	175,232.41
01/27/15	106	Kimberly H. Tyson Esq.	please see docket no 435	3220-000		1,174.60	174,057.81
01/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		367.11	173,690.70
02/19/15	{42}	William Snider	preference payment	1141-000	8,000.00		181,690.70
02/27/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		235.85	181,454.85
03/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		278.39	181,176.46
04/09/15	{30}	Matrix Funding Corp	bankruptcy claim (12-13824) payment	1229-000	849,422.53		1,030,598.99
04/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,075.10	1,029,523.89
05/05/15	107	Kimberly H. Tyson Esq.	please see docket no 456	3210-000		66,686.25	962,837.64
05/05/15	108	Dennis and Company, PC	please see docket no 455	3410-000		46,276.00	916,561.64
05/05/15	109	Dennis and Company, PC	please see docket no 455	3420-000		96.00	916,465.64
05/29/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,340.28	915,125.36
06/23/15	{43}	Greenberg Traurig PA	preference settlement	1141-000	25,000.00		940,125.36
06/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,411.21	938,714.15
07/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,395.21	937,318.94
08/10/15	{30}	Matrix Funding Corp	dividend from Martix 12-13824	1229-000	73,980.54		1,011,299.48
08/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,367.10	1,009,932.38
09/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,549.61	1,008,382.77
10/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,450.41	1,006,932.36
11/07/15	110	Crowe Horwath LLP	please see docket no 361	6410-000		6,292.50	1,000,639.86
11/07/15	111	United States Trustee	Chp 11 quarterly fees	2950-000		1,625.00	999,014.86
11/07/15	112	Kenneth S. Gardner	adv 14-1186, 14-1187, 14-1188, 14-1189, 14-1191	2700-000		1,750.00	997,264.86
11/10/15	{44}	Muller Myers Farran PC	bankruptcy dividend	1229-000	2,047.21		999,312.07
11/10/15	{44}	Muller Myers Farran PC	bankruptcy dividend	1229-000	59.41		999,371.48
11/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,396.07	997,975.41
12/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,579.12	996,396.29
01/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,381.74	995,014.55

Page Subtotals: \$958,509.69 \$220,226.29



Form 2

Exhibit 9

Page: 3

Cash Receipts And Disbursements Record

Case No.: 12-13815 Trustee Name: Simon E. Rodriguez, Trustee (260290)  
 Case Name: UNITED WESTERN BANCORP, INC. Bank Name: Mechanics Bank  
 Taxpayer ID #: \*\*\_\*\*\*3716 Account #: \*\*\*\*\*2066 Checking Account  
 For Period Ending: 11/22/2023 Blanket Bond (per case limit): \$55,455,715.00  
 Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
01/30/16	113	Kimberly H. Tyson Esq.	please see docket no 483	3210-000		221,700.00	773,314.55
01/30/16	114	Dennis and Company, PC	please see docket no 482	3410-000		20,288.00	753,026.55
01/30/16	115	United States Trustee	quarterly fees	2950-000		975.00	752,051.55
03/01/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,098.37	750,953.18
03/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,185.06	749,768.12
04/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,039.63	748,728.49
05/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,038.19	747,690.30
06/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,179.90	746,510.40
07/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,035.12	745,475.28
08/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,176.26	744,299.02
09/12/16	{45}	United Western Admin Services	dividend from Case No 13-18186	1229-000	18.74		744,317.76
09/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,067.65	743,250.11
10/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,030.59	742,219.52
11/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,135.73	741,083.79
12/15/16	116	Dennis and Company, PC	please see docket no 621	3410-000		14,308.00	726,775.79
12/15/16	117	Dennis and Company, PC	please see docket no 621	3420-000		78.00	726,697.79
12/15/16	118	Kimberly H. Tyson Esq.	please see docket no 622	3210-000		70,424.75	656,273.04
12/15/16	119	Kimberly H. Tyson Esq.	please see docket no 622	3220-000		683.51	655,589.53
12/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,029.02	654,560.51
01/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,010.97	653,549.54
02/28/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		877.36	652,672.18
03/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		970.06	651,702.12
04/28/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		874.88	650,827.24
05/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,029.73	649,797.51
06/30/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		934.63	648,862.88
07/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		902.18	647,960.70
08/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,025.28	646,935.42
09/29/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		899.50	646,035.92
10/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		991.17	645,044.75
11/30/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		927.80	644,116.95

Page Subtotals: \$18.74 \$350,916.34

Form 2

Exhibit 9

Cash Receipts And Disbursements Record

Page: 4

Case No.: 12-13815 Trustee Name: Simon E. Rodriguez, Trustee (260290)  
 Case Name: UNITED WESTERN BANCORP, INC. Bank Name: Mechanics Bank  
 Taxpayer ID #: \*\*\_\*\*\*3716 Account #: \*\*\*\*\*2066 Checking Account  
 For Period Ending: 11/22/2023 Blanket Bond (per case limit): \$55,455,715.00  
 Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
12/18/17		To Account #*****2068	exempt account	9999-000		644,116.95	0.00
01/02/18		From Account #*****2068	pay atty and accountant	9999-000	91,058.16		91,058.16
01/02/18	120	Mark E Haynes Esq.	please see docket no 641	3210-000		74,225.00	16,833.16
01/02/18	121	Mark E Haynes Esq.	please see docket no 641	3220-000		574.16	16,259.00
01/02/18	122	Dennis & Company, P.C.	please see docket no 643	3410-000		16,163.00	96.00
01/02/18	123	Dennis & Company, P.C.	please see docket no 643	3420-000		96.00	0.00

<b>COLUMN TOTALS</b>	<b>1,332,834.63</b>	<b>1,332,834.63</b>	<b>\$0.00</b>
Less: Bank Transfers/CDs	91,058.16	644,116.95	
<b>Subtotal</b>	<b>1,241,776.47</b>	<b>688,717.68</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$1,241,776.47</b>	<b>\$688,717.68</b>	

**Form 2**

Exhibit 9

Page: 5

**Cash Receipts And Disbursements Record**

<b>Case No.:</b>	12-13815	<b>Trustee Name:</b>	Simon E. Rodriguez, Trustee (260290)
<b>Case Name:</b>	UNITED WESTERN BANCORP, INC.	<b>Bank Name:</b>	Mechanics Bank
<b>Taxpayer ID #:</b>	**_***3716	<b>Account #:</b>	*****2067 Checking Account
<b>For Period Ending:</b>	11/22/2023	<b>Blanket Bond (per case limit):</b>	\$55,455,715.00
		<b>Separate Bond (if applicable):</b>	N/A

1	2	3	4	5	6	7	
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance

(No transactions on file for this period)

<b>COLUMN TOTALS</b>					0.00	0.00	\$0.00
Less: Bank Transfers/CDs					0.00	0.00	
<b>Subtotal</b>					<u>0.00</u>	<u>0.00</u>	
Less: Payments to Debtors						0.00	
<b>NET Receipts / Disbursements</b>					<u><u>\$0.00</u></u>	<u><u>\$0.00</u></u>	

Form 2

Exhibit 9

Page: 6

Cash Receipts And Disbursements Record

Case No.: 12-13815 Trustee Name: Simon E. Rodriguez, Trustee (260290)  
 Case Name: UNITED WESTERN BANCORP, INC. Bank Name: Mechanics Bank  
 Taxpayer ID #: \*\*\_\*\*\*3716 Account #: \*\*\*\*\*2068 Checking Account-exempt  
 For Period Ending: 11/22/2023 Blanket Bond (per case limit): \$55,455,715.00  
 Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
12/18/17		From Account #*****2066	exempt account	9999-000	644,116.95		644,116.95
01/02/18		To Account #*****2066	pay atty and accountant	9999-000		91,058.16	553,058.79
11/05/19	101	Mark E Haynes Esq.	please see docket 656	3210-000		99,578.00	453,480.79
11/05/19	102	Dennis & Company, P.C.	please docket no 657	3410-000		7,256.00	446,224.79
11/05/19	103	Dennis and Company, PC	please see docket no 657	3420-000		64.00	446,160.79
03/31/20		Mechanics Bank	Bank and Technology Services Fees	2600-000		356.56	445,804.23
04/30/20		Mechanics Bank	Bank and Technology Services Fees	2600-000		-356.56	446,160.79
09/30/20	104	Dennis and Company, PC	please see docket no 682	3410-000		6,923.00	439,237.79
09/30/20	105	Dennis and Company, PC	please see docket no 682	3420-000		102.40	439,135.39
01/16/21	106	Mark E Haynes Esq.	please see docket no 703	3210-000		79,073.50	360,061.89
01/16/21	107	Mark E Haynes Esq.	please see docket no 703	3220-000		188.90	359,872.99
02/24/21		Transfer Debit to Metropolitan Commercial Bank acct XXXXXX7071	Transition Debit to Metropolitan Commercial Bank acct XXXXXX7071	9999-000		359,872.99	0.00

<b>COLUMN TOTALS</b>	<b>644,116.95</b>	<b>644,116.95</b>	<b>\$0.00</b>
Less: Bank Transfers/CDs	644,116.95	450,931.15	
<b>Subtotal</b>	<b>0.00</b>	<b>193,185.80</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$0.00</b>	<b>\$193,185.80</b>	

Form 2

Exhibit 9

Page: 7

Cash Receipts And Disbursements Record

Case No.: 12-13815 Trustee Name: Simon E. Rodriguez, Trustee (260290)  
 Case Name: UNITED WESTERN BANCORP, INC. Bank Name: Metropolitan Commercial Bank  
 Taxpayer ID #: \*\*\_\*\*\*3716 Account #: \*\*\*\*\*7071 Checking Account  
 For Period Ending: 11/22/2023 Blanket Bond (per case limit): \$55,455,715.00  
 Separate Bond (if applicable): N/A

1	2	3	4	5	6	7	
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
02/24/21		Transfer Credit from Mechanics Bank acct XXXXXX2068	Transition Credit from Mechanics Bank acct XXXXXX2068	9999-000	359,872.99		359,872.99
08/13/21	1000	Dickensheet & Associates, Inc.	please see docket no 708	2420-000		4,250.00	355,622.99
12/20/22	1001	DENNIS D RUSSELL, CPA, LLC	please see docket no 739	3410-580		5,000.00	350,622.99
12/20/22	1002	DENNIS D RUSSELL, CPA, LLC	please see docket no 739	3420-590		83.61	350,539.38
12/20/22	1003	Mark E Haynes Esq.	please see docket no 738	3210-000		48,913.50	301,625.88
12/20/22	1004	Mark E Haynes Esq.	please see docket no 738	3220-000		136.35	301,489.53
06/02/23	1005	SL Biggs	please see docket n 745	3410-000		13,563.00	287,926.53
06/02/23	1006	SL Biggs	please see docket no 745	3420-000		70.00	287,856.53
08/04/23	1007	Simon E. Rodriguez	Distribution payment - Dividend paid at 100.00% of \$60,503.29; Claim # FEE; Filed: \$60,503.29	2100-000		60,503.29	227,353.24
08/04/23	1008	Simon E. Rodriguez	Distribution payment - Dividend paid at 100.00% of \$5,022.48; Claim # TE; Filed: \$5,022.48	2200-000		5,022.48	222,330.76
08/04/23	1009	Adams County Treasurer	Distribution payment - Dividend paid at 100.00% of \$409.27; Claim # 6-1; Filed: \$409.27 Voided on 08/29/2023	6820-000		409.27	221,921.49
08/04/23	1010	Oregon Department of Revenue	Distribution payment - Dividend paid at 100.00% of \$164.85; Claim # 12-CP11 adm; Filed: \$164.85	6820-860		164.85	221,756.64
08/04/23	1011	Oregon Department of Revenue	Distribution payment - Dividend paid at 100.00% of \$64.99; Claim # 12-1 Pri; Filed: \$64.99	5800-000		64.99	221,691.65
08/04/23	1012	City and County of Denver/Treasury	Distribution payment - Dividend paid at 100.00% of \$17,114.29; Claim # 37-1B; Filed: \$17,114.29	5800-000		17,114.29	204,577.36
08/04/23	1013	Wells Fargo Bank, N.A. as guarantee trustee	Combined dividend payments for Claim #2-1, 3-1 Stopped on 08/29/2023			78,694.58	125,882.78
		Wells Fargo Bank, N.A. as guarantee trustee	Claims Distribution - Thu, 06-22-2023 \$34,751.41	7100-000			
		Wells Fargo Bank, N.A. as guarantee trustee	Claims Distribution - Thu, 06-22-2023 \$43,943.17	7100-000			
08/04/23	1014	U.S. Bankruptcy Court	Combined small dividends. Voided on 08/10/2023			2.47	125,880.31
		Internal Revenue Service	Claims Distribution - Thu, 06-22-2023 \$1.89	7100-000			
		Qwest Communications Company, LLC, dba CenturyLink QCC	Claims Distribution - Thu, 06-22-2023 \$0.58	7100-000			
08/04/23	1015	Colorado Department of Labor and Employment	Distribution payment - Dividend paid at 0.42% of \$10,255.56; Claim # 5-1; Filed: \$10,255.56	7100-000		43.25	125,837.06
08/04/23	1016	Microsoft Corporation and Microsoft Licensing, GP	Distribution payment - Dividend paid at 0.42% of \$73,309.30; Claim # 13-1; Filed: \$73,309.30	7100-000		309.20	125,527.86

Page Subtotals: \$359,872.99 \$234,345.13

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Exhibit 9

Cash Receipts And Disbursements Record

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Case No.: 12-13815 Trustee Name: Simon E. Rodriguez, Trustee (260290)  
 Case Name: UNITED WESTERN BANCORP, INC. Bank Name: Metropolitan Commercial Bank  
 Taxpayer ID #: \*\*\_\*\*\*3716 Account #: \*\*\*\*\*7071 Checking Account  
 For Period Ending: 11/22/2023 Blanket Bond (per case limit): \$55,455,715.00  
 Separate Bond (if applicable): N/A

1	2	3	4	5	6	7	
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
08/04/23	1017	JPMorgan Chase Bank, N.A.	Distribution payment - Dividend paid at 0.42% of \$13,682,784.27; Claim # 14-2; Filed: \$13,682,784.27	7100-000		57,709.67	67,818.19
08/04/23	1018	Wilmington Trust Company, Trustee	Distribution payment - Dividend paid at 0.42% of \$15,496,819.73; Claim # 29-1; Filed: \$15,496,819.73	7100-000		65,360.70	2,457.49
08/04/23	1019	BuckleySandler, LLP	Distribution payment - Dividend paid at 0.42% of \$405,205.62; Claim # 32-1; Filed: \$405,205.62	7100-000		1,709.03	748.46
08/04/23	1020	Qwest Corporation, dba CenturyLink QC	Combined dividend payments for Claim #33-1, 34-1			100.58	647.88
		Qwest Corporation, dba CenturyLink QC	Claims Distribution - Thu, 06-22-2023 \$93.78	7100-000			
		Qwest Corporation, dba CenturyLink QC	Claims Distribution - Thu, 06-22-2023 \$6.80	7100-000			
08/04/23	1021	700 17th Street Operating, LLC	Distribution payment - Dividend paid at 0.42% of \$48,238.96; Claim # 36-1; Filed: \$48,238.96 Stopped on 11/06/2023	7100-000		203.46	444.42
08/04/23	1022	American Express Centurion Bank	Combined dividend payments for Claim #39-1, 40-1			22.65	421.77
		American Express Centurion Bank	Claims Distribution - Thu, 06-22-2023 \$18.29	7100-000			
		American Express Centurion Bank	Claims Distribution - Thu, 06-22-2023 \$4.36	7100-000			
08/04/23	1023	Latham & Watkins	Distribution payment - Dividend paid at 0.42% of \$100,000.00; Claim # 41-1; Filed: \$100,000.00	7100-000		421.77	0.00
08/09/23		Kenneth S. Gardner	USBC COLORADO PAYMENT 230808 CCD 0000			2.47	-2.47
		Internal Revenue Service	less than \$5.00 \$1.89	7100-000			
		Qwest Communications Company, LLC, dba CenturyLink QCC	less than \$5.00 \$0.58	7100-000			
08/10/23	1014	U.S. Bankruptcy Court	Combined small dividends. Voided: check issued on 08/04/2023			-2.47	0.00
		Internal Revenue Service	Claims Distribution - Thu, 06-22-2023 \$1.89	7100-000			
		Qwest Communications Company, LLC, dba CenturyLink QCC	Claims Distribution - Thu, 06-22-2023 \$0.58	7100-000			
08/29/23	1009	Adams County Treasurer	Distribution payment - Dividend paid at 100.00% of \$409.27; Claim # 6-1; Filed: \$409.27 Voided: check issued on 08/04/2023	6820-000		-409.27	409.27

Page Subtotals: \$0.00 \$125,118.59

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Cash Receipts And Disbursements Record

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Case No.: 12-13815 Trustee Name: Simon E. Rodriguez, Trustee (260290)  
 Case Name: UNITED WESTERN BANCORP, INC. Bank Name: Metropolitan Commercial Bank  
 Taxpayer ID #: \*\*\_\*\*\*3716 Account #: \*\*\*\*\*7071 Checking Account  
 For Period Ending: 11/22/2023 Blanket Bond (per case limit): \$55,455,715.00  
 Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
08/29/23	1013	Wells Fargo Bank, N.A. as guarantee trustee	Combined dividend payments for Claim #2-1, 3-1 Stopped: check issued on 08/04/2023			-78,694.58	79,103.85
		Wells Fargo Bank, N.A. as guarantee trustee	Claims Distribution - Thu, 06-22-2023 \$34,751.41	7100-000			
		Wells Fargo Bank, N.A. as guarantee trustee	Claims Distribution - Thu, 06-22-2023 \$43,943.17	7100-000			
08/29/23	1024	Wells Fargo Bank, N.A. as guarantee trustee	Combined dividend payments for Claim #2-1, 3-1			78,694.58	409.27
		Wells Fargo Bank, N.A. as guarantee trustee	Claims Distribution - Thu, 06-22-2023 \$34,751.41	7100-000			
		Wells Fargo Bank, N.A. as guarantee trustee	Claims Distribution - Thu, 06-22-2023 \$43,943.17	7100-000			
09/05/23	1025	Wells Fargo Bank, N.A. as guarantee trustee	Combined dividend payments for Claim #2-1, 3-1			157.42	251.85
		Wells Fargo Bank, N.A. as guarantee trustee	Claims Distribution - Thu, 08-31-2023 \$69.52	7100-000			
		Wells Fargo Bank, N.A. as guarantee trustee	Claims Distribution - Thu, 08-31-2023 \$87.90	7100-000			
09/05/23	1026	JPMorgan Chase Bank, N.A.	Distribution payment - Dividend paid at 0.00% of \$13,682,784.27; Claim # 14-2; Filed: \$13,682,784.27	7100-000		115.45	136.40
09/05/23	1027	Wilmington Trust Company, Trustee	Distribution payment - Dividend paid at 0.00% of \$15,496,819.73; Claim # 29-1; Filed: \$15,496,819.73	7100-000		130.75	5.65
09/05/23	1028	U.S. Bankruptcy Court	Combined small dividends. Voided on 09/09/2023			5.65	0.00
		Latham & Watkins	Claims Distribution - Thu, 08-31-2023 \$0.85	7100-000			
		Qwest Corporation, dba CenturyLink QC	Claims Distribution - Thu, 08-31-2023 \$0.20	7100-000			
		Qwest Corporation, dba CenturyLink QC	Claims Distribution - Thu, 08-31-2023 \$0.01	7100-000			
		700 17th Street Operating, LLC	Claims Distribution - Thu, 08-31-2023 \$0.40	7100-000			
		Internal Revenue Service	Claims Distribution - Thu, 08-31-2023 \$0.01	7100-000			

Page Subtotals: \$0.00 \$409.27

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Cash Receipts And Disbursements Record

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Case No.: 12-13815 Trustee Name: Simon E. Rodriguez, Trustee (260290)  
 Case Name: UNITED WESTERN BANCORP, INC. Bank Name: Metropolitan Commercial Bank  
 Taxpayer ID #: \*\*\_\*\*\*3716 Account #: \*\*\*\*\*7071 Checking Account  
 For Period Ending: 11/22/2023 Blanket Bond (per case limit): \$55,455,715.00  
 Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
		American Express Centurion Bank	Claims Distribution - Thu, 08-31-2023 \$0.03	7100-000			
		American Express Centurion Bank	Claims Distribution - Thu, 08-31-2023 \$0.01	7100-000			
		Colorado Department of Labor and Employment	Claims Distribution - Thu, 08-31-2023 \$0.09	7100-000			
		Microsoft Corporation and Microsoft Licensing, GP	Claims Distribution - Thu, 08-31-2023 \$0.62	7100-000			
		BuckleySandler, LLP	Claims Distribution - Thu, 08-31-2023 \$3.42	7100-000			
		Qwest Communications Company, LLC, dba CenturyLink QCC	Claims Distribution - Thu, 08-31-2023 \$0.01	7100-000			
09/06/23		Kenneth S. Gardner	USBC COLORADO PAYMENT 230905 CCD 0000			5.65	-5.65
		Latham & Watkins	dividend \$0.85	7100-000			
		Qwest Corporation, dba CenturyLink QC	dividend \$0.20	7100-000			
		Qwest Corporation, dba CenturyLink QC	dividend \$0.01	7100-000			
		700 17th Street Operating, LLC	dividend \$0.40	7100-000			
		Internal Revenue Service	dividend \$0.01	7100-000			
		American Express Centurion Bank	dividend \$0.03	7100-000			
		American Express Centurion Bank	dividend \$0.01	7100-000			
		Colorado Department of Labor and Employment	dividend \$0.09	7100-000			
		Microsoft Corporation and Microsoft Licensing, GP	dividend \$0.62	7100-000			
		BuckleySandler, LLP	dividend \$3.42	7100-000			
		Qwest Communications Company, LLC, dba CenturyLink QCC	dividend \$0.01	7100-000			
09/09/23	1028	U.S. Bankruptcy Court	Combined small dividends. Voided: check issued on 09/05/2023			-5.65	0.00

Page Subtotals: \$0.00 \$0.00



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Cash Receipts And Disbursements Record

Case No.: 12-13815 Trustee Name: Simon E. Rodriguez, Trustee (260290)  
 Case Name: UNITED WESTERN BANCORP, INC. Bank Name: Metropolitan Commercial Bank  
 Taxpayer ID #: \*\*\_\*\*\*3716 Account #: \*\*\*\*\*7071 Checking Account  
 For Period Ending: 11/22/2023 Blanket Bond (per case limit): \$55,455,715.00  
 Separate Bond (if applicable): N/A

1	2	3	4	5	6	7	
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
		Latham & Watkins	Claims Distribution - Thu, 08-31-2023 \$0.85	7100-000			
		Qwest Corporation, dba CenturyLink QC	Claims Distribution - Thu, 08-31-2023 \$0.20	7100-000			
		Qwest Corporation, dba CenturyLink QC	Claims Distribution - Thu, 08-31-2023 \$0.01	7100-000			
		700 17th Street Operating, LLC	Claims Distribution - Thu, 08-31-2023 \$0.40	7100-000			
		Internal Revenue Service	Claims Distribution - Thu, 08-31-2023 \$0.01	7100-000			
		American Express Centurion Bank	Claims Distribution - Thu, 08-31-2023 \$0.03	7100-000			
		American Express Centurion Bank	Claims Distribution - Thu, 08-31-2023 \$0.01	7100-000			
		Colorado Department of Labor and Employment	Claims Distribution - Thu, 08-31-2023 \$0.09	7100-000			
		Microsoft Corporation and Microsoft Licensing, GP	Claims Distribution - Thu, 08-31-2023 \$0.62	7100-000			
		BuckleySandler, LLP	Claims Distribution - Thu, 08-31-2023 \$3.42	7100-000			
		Qwest Communications Company, LLC, dba CenturyLink QCC	Claims Distribution - Thu, 08-31-2023 \$0.01	7100-000			
11/05/23	1029	Kenneth S. Gardner	Distribution payment - Dividend paid at 0.42% of \$48,238.96; Claim # 36-1; Filed: \$48,238.96 Voided on 11/22/2023	7100-001		203.46	-203.46
11/06/23	1021	700 17th Street Operating, LLC	Distribution payment - Dividend paid at 0.42% of \$48,238.96; Claim # 36-1; Filed: \$48,238.96 Stopped: check issued on 08/04/2023	7100-000		-203.46	0.00
11/21/23		Kenneth S. Gardner	USBC COLORADO PAYMENT 231120 CCD 0000	7100-001		203.46	-203.46
11/22/23	1029	Kenneth S. Gardner	Distribution payment - Dividend paid at 0.42% of \$48,238.96; Claim # 36-1; Filed: \$48,238.96 Voided: check issued on 11/05/2023	7100-001		-203.46	0.00

Page Subtotals: \$0.00 \$0.00

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# Cash Receipts And Disbursements Record

<b>Case No.:</b> 12-13815	<b>Trustee Name:</b> Simon E. Rodriguez, Trustee (260290)
<b>Case Name:</b> UNITED WESTERN BANCORP, INC.	<b>Bank Name:</b> Metropolitan Commercial Bank
<b>Taxpayer ID #:</b> **-***3716	<b>Account #:</b> *****7071 Checking Account
<b>For Period Ending:</b> 11/22/2023	<b>Blanket Bond (per case limit):</b> \$55,455,715.00
	<b>Separate Bond (if applicable):</b> N/A

1	2	3	4	5	6	7	
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance

<b>COLUMN TOTALS</b>	<b>359,872.99</b>	<b>359,872.99</b>	<b>\$0.00</b>
Less: Bank Transfers/CDs	359,872.99	0.00	
<b>Subtotal</b>	<b>0.00</b>	<b>359,872.99</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$0.00</b>	<b>\$359,872.99</b>	

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**Cash Receipts And Disbursements Record**

**Case No.:** 12-13815 **Trustee Name:** Simon E. Rodriguez, Trustee (260290)  
**Case Name:** UNITED WESTERN BANCORP, INC. **Bank Name:** Metropolitan Commercial Bank  
**Taxpayer ID #:** \*\*\_\*\*\*3716 **Account #:** \*\*\*\*\*7071 Checking Account  
**For Period Ending:** 11/22/2023 **Blanket Bond (per case limit):** \$55,455,715.00  
**Separate Bond (if applicable):** N/A

<b>TOTAL - ALL ACCOUNTS</b>	<b>NET DEPOSITS</b>	<b>NET DISBURSEMENTS</b>	<b>ACCOUNT BALANCES</b>
*****2066 Checking Account	\$1,241,776.47	\$688,717.68	\$0.00
*****2067 Checking Account	\$0.00	\$0.00	\$0.00
*****2068 Checking Account-exempt	\$0.00	\$193,185.80	\$0.00
*****7071 Checking Account	\$0.00	\$359,872.99	\$0.00
	<b>\$1,241,776.47</b>	<b>\$1,241,776.47</b>	<b>\$0.00</b>