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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

MARCIANO PLATA, et al.,  
Plaintiffs,  
v.  
GAVIN NEWSOM, et al.,  
Defendants.

Case No. 01-cv-01351-JST

**ORDER TO SHOW CAUSE RE:  
BASELINE STAFF TESTING FOR  
COVID-19**

The Court issues this order in its continuing effort to address the COVID-19 pandemic as it relates to the California state prison system.

As the parties noted in their June 8, 2020 joint case management statement, “[t]he Receiver has identified prison staff as the main vector for spreading COVID-19 in the state prisons and has recommended that all staff at all institutions be tested for COVID-19.” ECF No. 3345 at 3. At the June 9, 2020 case management conference, the Court and the parties discussed staff testing at San Quentin and California State Prison, Corcoran. Without objection, the Court entered an oral order, subsequently memorialized in writing, for Defendants to test all staff at San Quentin and CSP-Corcoran within four business days.<sup>1</sup> ECF No. 3353 at 2. The state substantially complied with that order at both prisons. ECF No. 3356 at 7 & n.2 (reporting that 94.9% of San Quentin staff and 92.6% of CSP-Corcoran staff were tested, and explaining that not all staff were tested “for a number of reasons, including employees out on sick leave, disability leave, parental leave, vacation, and remote assignment”).

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<sup>1</sup> The Court ordered that staff who had contact with any incarcerated person who transferred from the California Institution for Men into San Quentin or CSP-Corcoran between May 28 and May 30, 2020, be tested within two business days. ECF No. 3353 at 2.

United States District Court  
Northern District of California

1 The Court also ordered Defendants to “produce a comprehensive plan for testing staff at all  
2 prisons in the California Department of Corrections and Rehabilitation to Plaintiffs by June 16,  
3 2020.” *Id.* The parties reported in their June 18, 2020 joint case management statement that  
4 Defendants complied with this deadline, and Defendants’ plan was attached as an exhibit to the  
5 parties’ joint statement. ECF 3356 at 5; ECF No. 3356-1. In accordance with California  
6 Department of Public Health recommendations for skilled nursing facilities, the plan provides for  
7 “universal baseline testing of all staff” at three institutions: California Health Care Facility  
8 (“CHCF”), California Medical Facility (“CMF”), and Central California Women’s Facility  
9 (“CCWF”). ECF No. 3356 at 5. However, Defendants’ plan does not contemplate baseline  
10 testing at any other institution. *See* ECF No. 3356-1. Instead, the plan proposes surveillance  
11 testing at “institutions that currently do not have any newly diagnosed COVID-19 cases among  
12 inmates or staff within the last 14 days.” *Id.* at 2. At institutions where at least one COVID-19  
13 positive inmate or staff is identified, the plan proposes “serial retesting of all staff . . . every 14  
14 days until no new cases are identified in two sequential rounds of testing,” and that such  
15 “retesting” be done “[a]s soon as possible.”<sup>2</sup> *Id.* at 5. The use of the word “retesting” assumes  
16 that baseline testing has been done, but Defendants’ plan does not appear to provide for baseline  
17 testing and the Court is not aware that any such testing has occurred except at San Quentin and  
18 CSP-Corcoran.

19 Defendants reported on June 18 that the California Department of Corrections and  
20 Rehabilitation (“CDCR”) had “already entered into a contract with a lab to provide the staff  
21 testing for” CHCF, CMF, and CCWF, and that testing at those institutions was to “commence this  
22 week.” ECF No. 3356 at 7. Defendants further reported that the process of completing “expedited  
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24 <sup>2</sup> The plan proposes that staff retesting be limited to yards where positive inmates are housed or  
25 positive staff are assigned “as long as staff are not moving among buildings to provide services.”  
26 ECF No. 3356 1 at 5. As far as the Court is aware, although the Receiver has recommended  
27 consideration of staff cohorting so that staff interact only with limited groups of inmates, no such  
28 cohorting has been implemented. To the contrary, Defendants have stated that, “CDCR is making  
efforts to ensure that primary-post positions are permanently filled, which should limit the number  
of different staff who are working in various housing units. But in such a large and complex  
system, it is impossible to completely and permanently limit the staff who work in various  
locations throughout the prisons.” ECF No. 3332 at 15. Thus, the Court anticipates that all staff  
will be serially retested under Defendants’ plan, without limitation to particular yards.

1 emergency contracts that will cover the remainder of the testing called for by the testing plan” was  
2 “nearly complete,” and they expected testing under the contract to begin “next week” – i.e., the  
3 week of June 22. *Id.* Thus, at least some level of staff testing should have already begun.

4 The Court anticipates that Defendants intend to provide updates on the level of staff testing  
5 performed to date in the joint case management statement due on July 1, 2020. The Court also  
6 anticipates that the parties intend to report on the results of their further meeting and conferring  
7 about Defendants’ comprehensive testing plan at that time. However, upon consultation with the  
8 Receiver, it appears imperative that baseline staff testing occur immediately, and the Court has  
9 concluded that waiting until the July 2 case management conference to discuss this issue with the  
10 parties would result in unnecessary delay. Given how quickly the disease can be transmitted,  
11 every day counts.

12 As of today, CDCR is reporting that, within the last 14 days, 828 new COVID-19 cases  
13 have been confirmed among the inmate population at San Quentin; 212 new cases have been  
14 confirmed at California Correctional Center (“CCC”); and 106 new cases have been confirmed at  
15 California Correctional Institution (“CCI”). CDCR, *Population COVID-19 Tracking*,  
16 <https://www.cdcr.ca.gov/covid19/population-status-tracking/> (last visited June 28, 2020). Other  
17 prisons have also reported new cases during that time period, but these three institutions have had  
18 the largest recent outbreaks. *Id.* Baseline staff testing at CCC and CCI, as well as staff retesting  
19 at San Quentin, therefore appear to be of the highest priority.

20 The Court is aware of no authority that would counsel against baseline staff testing to help  
21 control the spread of disease and to protect CDCR’s inmate and staff populations, as well as  
22 surrounding communities, or against retesting of all staff at San Quentin now that it has been  
23 approximately 14 days since baseline testing was completed there. Accordingly, good cause  
24 appearing, Defendants are ordered to show cause as to why they should not do all of the  
25 following:

- 26 1. Test all staff at San Quentin by July 2, 2020;
- 27 2. Test all staff at CCC and CCI by July 3, 2020; and

