

United States District Court
Northern District of California

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

JOHN D. HALTIGAN,
Plaintiff,
v.
MICHAEL V. DRAKE, et al.,
Defendants.

Case No. [5:23-cv-02437-EJD](#)

**ORDER GRANTING MOTION TO
DISMISS**

Re: ECF No. 23

Plaintiff John D. Haltigan brings this action against Defendants university officers to challenge the diversity, equity, and inclusion (“DEI”) statements required from prospective faculty candidates by the University of California, Santa Cruz. Plaintiff contends that the University’s DEI statement requirement and guidance violate First Amendment principles of academic freedom. Defendants filed a Rule 12(b)(1) motion to dismiss for lack of standing and a Rule 12(b)(6) motion for failure to state a claim, which are fully briefed. ECF Nos. 23 (“Mot.”), 29 (“Opp.”), 33 (“Reply”). The Court heard oral arguments on November 9, 2023.

Based on the parties’ written submission and oral arguments, the Court GRANTS Defendants’ Rule 12(b)(1) motion and DISMISSES the Complaint WITH LEAVE TO AMEND.

I. BACKGROUND

A. Parties

Plaintiff John D. Haltigan holds a Ph.D. in Developmental Psychology from the University of Miami and was previously an Assistant Professor in the Department of Psychiatry at the University of Toronto. Am. Compl. (“Compl.”) ¶ 7, ECF No. 13. Plaintiff has also served as a postdoctoral fellow at the University of Illinois, Urbana-Champaign; the University of North

1 Carolina, Greensboro; and the University of Ottawa. *Id.* ¶ 59. As of the Complaint’s filing, he is
 2 seeking employment in psychology departments across the country. *Id.* ¶¶ 7, 65.

3 Defendants Michael V. Drake, Cynthia K. Larive, Benjamin C. Storm, and Katharyne
 4 Mitchell are all sued in their official capacities at the University of California (the “University”) or
 5 the University of California, Santa Cruz (“UC Santa Cruz”). Compl. ¶¶ 8–11.

6 **B. Diversity, Equity, and Inclusion (“DEI”) Statements at UC Santa Cruz**

7 Around 2016, the University of California established the Advancing Faculty Diversity
 8 (“AFD”) program to support projects that increase racial and gender balance on the University
 9 campuses. Compl. ¶¶ 18–19. The AFD-funded pilot program at UC Santa Cruz encouraged
 10 search committees to use DEI statements in the faculty selection process and engage in more in-
 11 depth discussion with applicants about their statements. *Id.* ¶ 29. The Complaint alleges that,
 12 over time, UC Santa Cruz began to place more emphasis on faculty candidates’ diversity
 13 statements (which the Complaint refers to as the “Initial Screening Requirement”) and
 14 promulgated detailed rubrics for evaluating diversity statements. *Id.* ¶¶ 33–34.

15 The UC Santa Cruz Office of Academic Personnel (“APO”) publishes information to
 16 candidates about how DEI statements are evaluated. Compl. ¶¶ 36–37. Specifically, APO
 17 evaluates DEI statements under three broad categories: (1) awareness, (2) experience, and (3)
 18 future plans at UC Santa Cruz. *Id.* ¶ 39. The Complaint also alleges that APO publishes a
 19 “starting rubric” that purportedly assigns high scores for applicants’ DEI statements that express
 20 certain sociopolitical ideas and low scores for those that express otherwise. *Id.* ¶¶ 42–46. The
 21 APO’s website also provides a list of “common myths” about DEI faculty recruitment and a page
 22 for Resources on Antiracism. *Id.* ¶¶ 47–51. These requirements apply to every faculty job
 23 opening at UC Santa Cruz. *Id.* ¶ 55.

24 Plaintiff alleges that the combined result of the DEI statement requirement and the Initial
 25 Screening Requirement is that “applicants who fail to demonstrate conformity with the beliefs and
 26 ideology represented on the APO website know that their application is futile.” Compl. ¶¶ 56–57.

1 **C. UC Santa Cruz July 2022 Open Position**

2 On July 21, 2022, UC Santa Cruz posted an open hiring announcement for a tenure-track
3 position in Developmental Psychology. Compl. ¶ 66. The Psychology Department requires a DEI
4 statement in order to apply and “urges” candidate to review the scoring rubric published by APO.
5 *Id.* ¶ 67. The position also indicated that an “initial screening of candidates will be performed
6 using only the DEI statement and a research statement.” *Id.* ¶ 68.

7 Plaintiff alleges that he “desires a position at the University” but that the DEI statement
8 requirement makes his application futile, due to his views on “colorblind inclusivity,” “viewpoint
9 diversity,” and “merit-based evaluation.” *Id.* ¶ 69. Plaintiff further alleges that, if he were to
10 apply for the July 2022 opening or any other openings at UC Santa Cruz, he would be “compelled
11 to alter his behavior and either remain silent . . . or recant his views to conform to the dictates of
12 the University administration.” *Id.* ¶ 70. Plaintiff does not allege that he applied or prepared any
13 application materials for the July 2022 opening.

14 **D. Procedural History**

15 Plaintiff filed his initial complaint on May 18, 2023. ECF No. 1. That complaint alleged
16 that the July 2022 position was an “open position” and sought preliminary injunctive relief to
17 enjoin the University from enforcing the DEI statement requirement against Plaintiff. *Id.* at 12.
18 On June 19, 2023, Plaintiff filed the present Amended Complaint, omitting the allegations that the
19 position was open and referencing the July 2022 position only as an example. Compl. ¶ 66.

20 On August 7, 2023, Defendants filed the instant motion to dismiss for lack of standing
21 under Rule 12(b)(1) and for failure to state a claim under Rule 12(b)(6). Mot. 1. The Court heard
22 oral arguments on November 9, 2023.

23 **II. LEGAL STANDARD**

24 **A. Rule 12(b)(1)**

25 “Federal courts are courts of limited jurisdiction; they are authorized only to exercise
26 jurisdiction pursuant to Article III of the U.S. Constitution and federal laws enacted thereunder.”
27 *Am. Fed’n of Teachers v. DeVos*, 484 F. Supp. 3d 731, 741 (N.D. Cal. 2020); *see also Henderson*

1 *ex rel. Henderson v. Shinseki*, 562 U.S. 428, 434 (2011) (“[F]ederal courts have an independent
2 obligation to ensure that they do not exceed the scope of their jurisdiction”). To establish Article
3 III standing, a plaintiff must show “(i) that he suffered an injury in fact that is concrete,
4 particularized, and actual or imminent; (ii) that the injury was likely caused by the defendant; and
5 (iii) that the injury would likely be redressed by judicial relief.” *TransUnion LLC v. Ramirez*, 141
6 S. Ct. 2190, 2203 (2021) (citing *Lujan v. Defs. of Wildlife*, 504 U.S. 555, 560–61 (1992)).

7 To contest a plaintiff’s showing of subject matter jurisdiction, a defendant may file a Rule
8 12(b)(1) motion. Fed. R. Civ. P. 12(b)(1). A defendant may challenge jurisdiction “facially” by
9 arguing the complaint “on its face” lacks jurisdiction or “factually” by presenting extrinsic
10 evidence demonstrating the lack of jurisdiction on the facts of the case. *Wolfe v. Strankman*, 392
11 F.3d 358, 362 (9th Cir. 2004); *Safe Air for Everyone v. Meyer*, 373 F.3d 1035, 1039 (9th Cir.
12 2004). “In a facial attack, the challenger asserts that the allegations contained in a complaint are
13 insufficient on their face to invoke federal jurisdiction. By contrast, in a factual attack, the
14 challenger disputes the truth of the allegations that, by themselves, would otherwise invoke federal
15 jurisdiction.” *Id.* In resolving a factual attack on jurisdiction, the Court “need not presume the
16 truthfulness of the plaintiff’s allegations.” *Safe Air*, 373 F.3d at 1039.

17 **III. DISCUSSION**

18 The Complaint asserts two First Amendment claims, one for unconstitutional conditions
19 and the other for viewpoint discrimination. Compl. ¶¶ 71–85. In their Rule 12(b)(1) motion to
20 dismiss, Defendants assert a facial attack on Plaintiff’s standing to bring either of his two claims.
21 Mot. 7–12. Because “[s]tanding is a threshold matter of jurisdiction,” the Court must first assure
22 itself that Plaintiff has “standing and that jurisdiction otherwise exists before [it] review[s] the
23 merits.” *LA All. for Hum. Rts. v. Cnty. of Los Angeles*, 14 F.4th 947, 956 (9th Cir. 2021) (citing
24 *Steel Co. v. Citizens for a Better Env’t*, 523 U.S. 83, 102 (1998)).

25 Defendants attack Plaintiff’s standing on two grounds: first, his alleged injury is not
26 “actual or imminent,” because the Complaint only expresses “some day intentions” to apply
27 without any allegations regarding an “imminent” open position; and second, Plaintiff’s alleged

1 injury is not “concrete and particularized,” because he never submitted himself to the application
2 process he seeks to challenge. Mot. 7–8.

3 As a general premise, there is a “long-established rule” that a plaintiff “lacks standing to
4 challenge a rule or policy to which he has not submitted himself by actually applying for the
5 desired benefit.” *Friery v. Los Angeles Unified Sch. Dist.*, 448 F.3d 1146, 1149–50 (9th Cir.
6 2006) (citing *Madsen v. Boise State Univ.*, 976 F.2d 1219, 1220 (9th Cir. 1992) (collecting cases)).
7 Because the Complaint indisputably does not allege that Plaintiff “submitted himself by actually
8 applying for the desired benefit,” Plaintiff attempts to overcome this standing obstacle in three
9 parts: (1) he invokes the “competitor standing” doctrine, which confers standing on a plaintiff that
10 is “able and ready” to apply or compete, Opp. 5–9; (2) he argues that First Amendment rights can
11 be vindicated without engaging in or risking a challenged course of conduct, Opp. 9–10; and (3)
12 any application submitted would have been futile, Opp. 19.

13 A. Competitor Standing

14 First and foremost, Plaintiff relies extensively on *Planned Parenthood of Greater*
15 *Washington & N. Idaho v. U.S. Dep’t of Health & Hum. Servs.* (“*Planned Parenthood*”), 946 F.3d
16 1100 (9th Cir. 2020), for the doctrine of “competitor standing.” Under this doctrine, a plaintiff
17 may challenge a selection process without having applied himself, so long as the plaintiff
18 “demonstrate that it is ‘able and ready to bid.’” *Id.* at 1108. This doctrine reasons that “the injury
19 is the increase in competition rather than the ultimate denial of an application, the loss of sales, or
20 the loss of a job.” *Id.*

21 The primary paragraphs that Plaintiff cites in support of his ability and readiness (*see* Opp.
22 5) are paragraphs 69 and 70 of the Complaint, where he alleges that the DEI Statement
23 requirement renders his application “futile,” that his viewpoints “make it impossible for him to
24 truthfully compete for a faculty position,” and he would be “compelled to alter his behavior and
25 either remain silent . . . or recant his views” if he were to apply. Compl. ¶¶ 69–70. Putting aside
26 the fact that many of these statements are conclusory in nature, almost all of them relate to the
27 University’s challenged practices instead of Plaintiff’s own ability and readiness.

1 Rather than identify allegations that he is “ready and able,” Plaintiff instead attempts to
2 compare his facts to those in *Planned Parenthood*. Opp. 7–8. However, the unambiguous bidding
3 requirements challenged in *Planned Parenthood* are readily distinguishable from the “starting
4 rubric” and DEI publications on the UC Santa Cruz website. Critically, in *Planned Parenthood*,
5 the plaintiff had specifically alleged “how the [funding opportunities] each *allotted at least a*
6 *quarter* of a grant applicant’s scoring rubric to the implementation of one of the two tools.” 946
7 F.3d at 1109 (emphasis added). Here, although Plaintiff references the APO’s “starting rubric”
8 and how it assigns higher scores to certain viewpoints in the DEI statements (Compl. ¶¶ 42–46),
9 there are no allegations that candidates *must* receive some threshold score as a “requirement” for
10 UC Santa Cruz’s openings or that a “high” score would even accord a candidate any competitive
11 advantage. The competitive injury (if any) inferable from UC Santa Cruz’s “starting rubric” is
12 much more abstract and speculative than the allegations in *Planned Parenthood*. And in any
13 event, a comparison of the two bidding and application processes provides limited insight into the
14 primary inquiry for competitor standing, whether *Plaintiff* is “ready and able” to apply.

15 The only statement in the Complaint that bears on Plaintiff’s ability and readiness is the
16 allegation that “he desires a position at the University.” *Id.* ¶ 69. The Supreme Court, however,
17 has been reluctant to find competitor standing where a plaintiff relies “on a bare statement of
18 intent alone against the context of a record that shows nothing more than an abstract generalized
19 grievance.” *Carney v. Adams*, 592 U.S. 53, 65–66 (2020). In *Carney*, the Supreme Court found
20 that a plaintiff lacked competitor standing when he had only expressed a general interest in an
21 open judgeship, “without any actual past injury, without reference to an anticipated timeframe,
22 without prior judgeship applications, without prior relevant conversations, without efforts to
23 determine likely openings, without other preparations or investigations, and without any other
24 supporting evidence.” *Id.* at 63. Even though the Supreme Court did not completely foreclose the
25 possibility that a “statement of intent” could suffice for standing, it found that the plaintiff’s words
26 of intent alone—such as Plaintiff’s expressed “desires” for a position with the University—were
27 insufficient on that particular record. *Id.* at 64. The Supreme Court also indicated that the record

1 should reflect “at least some evidence that, e.g., [the plaintiff] had applied in the past [and] there
2 were regular opportunities available with relevant frequency.” *Id.* at 65–66. The allegation in the
3 Complaint, however, falls short of this showing.

4 Plaintiff attempts to distinguish *Carney* by highlighting the fact that there was previously
5 an “actual job that he was qualified for and that he wanted.” Opp. 7. First, although the Court
6 agrees that the existence of a previous open position is somewhat relevant, it is overshadowed by
7 the fact that Plaintiff *did not* apply to that position.¹ *See generally* Compl. ¶¶ 66–70; *see also*
8 *Carney*, 592 U.S. at 65–66 (emphasizing the relevance of evidence that a plaintiff “had *applied* in
9 the past”) (emphasis added). Second, the Complaint also does not allege that “there were regular
10 opportunities available with relevant frequency,” which was another piece of relevant evidence
11 that *Carney* had identified. 592 U.S. at 66. Plaintiff attempts to overcome this deficiency by
12 arguing that the “University is constantly hiring and will inevitably post (another) job opening that
13 Dr. Haltigan is qualified for.” Opp. 10. However, this is alleged nowhere in the Complaint and,
14 even if it was, is the type of floating “some day” speculation that does not support a finding of
15 “actual or imminent” injury. *Lujan v. Defs. of Wildlife*, 504 U.S. 555, 564 (1992). The only other
16 relevant allegations of future opportunities are that there was an open UC Santa Cruz position in
17 July 2022 and an open position in 2013, which is a far cry from evidence of “*regular opportunities*
18 available with *relevant* frequency.” *Carney*, 592 U.S. at 66.

19 Third and most broadly, the Complaint does not allege that Plaintiff had undertaken *any*
20 preparations in anticipation of applying to either the July 2022 UC Santa Cruz position or any
21 future UC Santa Cruz positions. *See Carney*, 592 U.S. at 63–64; *Ellison v. Am. Bd. of*
22 *Orthopaedic Surgery*, 11 F.4th 200, 207 (3d Cir. 2021) (“[I]n most cases, a plaintiff will need to
23 plead that he or she took some *actual steps* that demonstrate a real interest in seeking the alleged
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25
26 ¹ Although Plaintiff indicated that he had previously applied to UC Santa Cruz in 2013, that prior
27 application would not have been made under the application process that Plaintiff is challenging in
28 this suit. Compl. ¶¶ 17–27 (alleging that the University only began emphasizing DEI at earliest in
2015). Plaintiff’s 2013 application, therefore, sheds little light onto whether he is “able and ready”
at the time of the Complaint, ten years later and under a substantively different application regime.
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1 benefit.”) (emphasis added). For instance, the Complaint alleges that the University will initially
 2 screen candidates “using only the DEI statement *and a research statement*,” Compl. ¶ 68
 3 (emphasis added), but it does not allege that Plaintiff prepared such a research statement or made
 4 any “other preparations or investigations” into the open position. The Complaint also does not
 5 contain any allegations about Plaintiff’s “actual past injury,” any “reference to an anticipated
 6 timeframe,” any “prior relevant conversations” about applying to UC Santa Cruz, or any “efforts
 7 to determine likely openings” at UC Santa Cruz—all of which were relevant considerations that
 8 led the Supreme Court to find that a plaintiff was not “able and ready” to apply. *Carney*, 592 U.S.
 9 at 63. Without any allegations about the actual preparatory steps that Plaintiff undertook, the
 10 Court cannot find Plaintiff to be more “able and ready” than the plaintiff in *Carney*, who had at
 11 least re-activated his bar membership in anticipation of applying for the judgeship. *Id.* at 63.

12 In addition to Plaintiff’s cited paragraphs and presented arguments, the Court also notes
 13 that the Complaint alleges that Plaintiff is “currently actively seeking jobs in academia and has
 14 applied to positions at other universities with less stringent DEI requirements.” *Id.* ¶ 65. This
 15 factual allegation is reiterated in Plaintiff’s supplementary declaration that in the “course of [his]
 16 job search, [he had] applied to several jobs with less discriminatory DEI statement requirements
 17 than UC Santa Cruz” and that he had “also applied to UC Santa Cruz in the past, in 2013.”²
 18 Haltigan Decl. ¶¶ 10–11, ECF No. 30. Although this is a relevant consideration in evaluating
 19 Plaintiff’s ability and readiness to apply, the Court finds that an allegation that Plaintiff is
 20 generally in the national market for a position in the academy is not sufficiently imminent or
 21 concrete to establish an injury-in-fact arising from UC Santa Cruz’s specific application process.

22 Accordingly, the Court finds that Plaintiff has not alleged that he is “able and ready” to
 23 apply and, therefore, may not invoke “competitor standing” to satisfy subject matter jurisdiction.
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25
 26 ² In determining constitutional standing, the Court must consider any additional allegations by
 27 amendment or affidavits. *See, e.g., Maya v. Centex Corp.*, 658 F.3d 1060, 1067 (9th Cir. 2011)
 28 (reversing dismissal where district court erroneously applied the Rule 12(b)(6) standard in
 dismissing for lack of constitutional standing).

1 **B. First Amendment Prudential Considerations**

2 In addition to “competitor standing,” Plaintiff also cites a line of First Amendment cases
3 for the proposition that he does not need to “subject himself to unconstitutional compelled speech”
4 to challenge the DEI statement requirements under the First Amendment. Opp. 9–10.

5 As a legal matter, it is unclear whether this Court should even consider special First
6 Amendment standing issues in the context of a Rule 12(b)(1) motion. Specifically, the relaxed
7 First Amendment standing requirements do not originate from Article III but rather appear to be
8 creatures of prudential standing. *See, e.g., Sec’y of State of Md. v. Joseph H. Munson Co.*, 467
9 U.S. 947, 956 (1984) (“Within the context of the First Amendment, the Court has enunciated other
10 concerns that justify a lessening of *prudential limitations on standing*.”) (emphasis added);
11 *Canatella v. State of California*, 304 F.3d 843, 853 (9th Cir. 2002) (“[I]n recognition that ‘the First
12 Amendment needs breathing space,’ the Supreme Court has relaxed the *prudential* requirements of
13 standing in the First Amendment context.”); *Rio Grande Found. v. Oliver*, 57 F.4th 1147, 1160
14 (10th Cir. 2023) (same); 1 Ronald D. Rotunda, John E. Nowak, *Treatise on Constitutional Law*, §
15 2.13(f)(iii)(2) (“In the First Amendment area, *prudential* barriers are lower.”) (emphasis added).

16 The distinction between Article III standing and prudential standing is relevant here
17 because, “district courts in this circuit consider challenges to prudential standing in the context of
18 motions to dismiss under Rule 12(b)(6), *not under Rule 12(b)(1)*.” *SurvJustice Inc. v. DeVos*,
19 2019 WL 1434141, at *4 (N.D. Cal. Mar. 29, 2019) (emphasis added) (collecting cases); *cf. 4805*
20 *Convoy, Inc. v. City of San Diego*, 183 F.3d 1108, 1112 (9th Cir. 1999) (“[The] slender
21 overbreadth *exception to the prudential limits on standing does not affect the rigid constitutional*
22 *requirement* that plaintiffs must demonstrate an injury in fact to invoke a federal court’s
23 jurisdiction.”) (internal brackets and ellipses omitted) (emphasis added). The rationale for this
24 practice is especially evident in this case, where Plaintiff relies on prudential standing cases that
25 involve uniquely First Amendment facts and would call for a substantive and almost merits
26 inquiry unique to licensing and permitting schemes, as opposed to a more jurisdictional standing
27 and injury-in-fact analysis.

1 In any event, even if the Court could consider Plaintiff’s First Amendment prudential
 2 standing case law, their application to the present case is tenuous at best, an observation Plaintiff
 3 himself acknowledges. Opp. 10 (recognizing that “Dr. Haltigan is not seeking a license or a
 4 permit”). The cases cited by Plaintiff—*Santa Monica Food Not Bombs v. City of Santa Monica*,
 5 450 F.3d 1022 (9th Cir. 2006); *Arizona Right to Life Pol. Action Comm. v. Bayless*, 320 F.3d 1002
 6 (9th Cir. 2003); *City of Lakewood v. Plain Dealer Publ’g Co.*, 486 U.S. 750 (1988)—all involve
 7 First Amendment challenges to a municipality’s permitting or licensing scheme, not a competitive
 8 application or bidding process. These opinions also expressly acknowledge that it was the
 9 discretionary permitting framework that implicated the First Amendment concerns and gave rise to
 10 the special standing analysis. *See Santa Monica*, 450 F.3d at 1033 (noting that “special standing
 11 principles apply in First Amendment cases” relating to facial and as-applied challenges); *Arizona*
 12 *Right to Life*, 320 F.3d at 1006 (“Constitutional challenges based on the First Amendment present
 13 unique standing considerations.”); *City of Lakewood*, 486 U.S. at 755–56 (“[O]ur cases have long
 14 held that *when a licensing statute allegedly vests unbridled discretion in a government official*
 15 *over whether to permit or deny expressive activity, one who is subject to the law may challenge it*
 16 *facially without the necessity of first applying for, and being denied, a license.”). None of the*
 17 *special First Amendment considerations in Santa Monica, Arizona Right to Life, City of Lakewood*
 18 *are present here, and the Court is unpersuaded by Plaintiff’s attempt to equate First Amendment*
 19 *concerns implicated by a public speech licensing regime with those in a job application.*

20 In short, the Court does not find that Plaintiff’s First Amendment prudential standing
 21 argument is properly raised in response to a Rule 12(b)(1) motion and, even if it is, his cited cases
 22 do not support applying those prudential standing principles in this case.

23 C. Futility

24 Finally, although Plaintiff does not directly contend that he should be excused from
 25 applying for standing purposes because any application would have been futile, he raises futility in
 26 other sections of the opposition, as well as in the Complaint. Opp. 19; *see also* Compl. ¶¶ 3, 56,
 27 69; *Carney*, 592 U.S. at 66 (“[O]ur precedents have also said that a plaintiff need not translate his

1 or her desire for a job . . . into a formal application where that application would be merely a futile
2 gesture.”) (cleaned up); *Taniguchi v. Schultz*, 303 F.3d 950, 957 (9th Cir. 2002) (“We have
3 consistently held that standing does not require exercises in futility.”).

4 To the extent that Plaintiff is seeking to argue futility as a means of overcoming the
5 obstacle that he had never submitted himself to the process he now challenges,³ the facts alleged in
6 the Complaint does not support a finding that the University’s policies “unambiguously rendered
7 [his] application futile.” *Pizzo v. City & Cnty. of San Francisco*, 2012 WL 6044837, at *15 (N.D.
8 Cal. Dec. 5, 2012). The subjective selection process alleged in the Complaint is analogous to the
9 one at issue in *Friery v. Los Angeles Unified Sch. Dist.*, 448 F.3d 1146. The teacher plaintiff in
10 *Friery* challenged a school district’s faculty transfer policy that prohibited transfers that would
11 “move the destination school’s ratio of white faculty to nonwhite faculty too far from LAUSD’s
12 overall ratio.” *Id.* at 1147–48. The Ninth Circuit found that plaintiff did not have standing
13 because he did not actually submit a transfer application and, therefore, it was “uncertain whether
14 the Transfer Policy would have affected [the plaintiff].” *Id.* at 1149 (“It may well be that had
15 [plaintiff] applied for a position at the [transferee school], the school might have accepted his
16 application on the basis of dire need, excellent qualifications, or any other reason.”). Likewise,
17 here, it may well have been the case that, had Plaintiff applied for the July 2022 open faculty
18 position, UC Santa Cruz might have accepted his application of the basis of his standalone
19 excellent qualifications or especially relevant research background.

20 The Ninth Circuit in *Friery* also remarked that the plaintiff’s contention that a formal
21 transfer application would have been futile “assumes too much” about the subjective transfer
22 process. *Id.* at 1149–50 (“[T]hese facts represent the quintessence of conjecture and speculation—
23 realms where our jurisdiction to entertain suit may cease.”). Here, the faculty candidate
24 consideration process is even more subjective and less concrete than the one challenged in *Friery*
25

26 ³ Plaintiff is correct that he does not need to plead futility if he can demonstrate that he is “able
27 and ready” under the doctrine of “competitor standing.” *Opp.* 8 n.1. The Court’s discussion of
28 futility is distinct from and does not bear upon its “competitor standing” analysis.

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1 because, there, the plaintiff was at least directly told that he “would not be eligible for the transfer
2 because he was . . . of ‘the wrong ethnic origin.’” *Id.* at 1147. The present Complaint alleges no
3 such direct and disqualifying consideration stemming from an applicant’s DEI statement.

4 In short, although futility could excuse the fact that Plaintiff never applied to the process
5 he now challenges, the Court does not find that the Complaint has sufficiently alleged futility.

6 * * *

7 In summary, because Plaintiff does not allege that he subjected himself to the process that
8 he now seeks to challenge in federal court, he is subject to the general “long-established rule ‘that
9 a plaintiff lacks standing to challenge a rule or policy to which he has not submitted himself by
10 actually applying for the desired benefit.’” *Friery*, 448 F.3d at 1149. Plaintiff cannot invoke
11 “competitor standing” because the Complaint does not allege that he is “able and ready” to apply;
12 his First Amendment prudential arguments have limited, if any, application to the Article III
13 standing inquiry; and the Complaint allegations do not support a finding of futility. Therefore,
14 Plaintiff has failed to demonstrate standing to bring his First Amendment claims.


15 The Court GRANTS Defendants’ Rule 12(b)(1) motion to dismiss the Complaint.
16 However, because the Court’s analysis here turns on pleading deficiencies with respect to
17 competitor standing and futility, the Court cannot determine that further amendment would be
18 futile. Accordingly, the Complaint shall be DISMISSED WITH LEAVE TO AMEND.

19 **IV. CONCLUSION**

20 Based on the foregoing, Defendants’ Rule 12(b)(1) motion to dismiss the Complaint is
21 GRANTED. The Complaint is DISMISSED WITH LEAVE TO AMEND. Any amended
22 complaint SHALL be filed by February 2, 2024.

23 **IT IS SO ORDERED.**

24 Dated: January 12, 2024

25
26 
27 EDWARD J. DAVILA
United States District Judge