AO 120 (Rev. 08/10)

TO:	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Central District of California, Southern Division on the following

☐ Trademarks or ☑ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT
SACV11-01846 DOC (ANx)	12/1/2011	Central District of California, Southern Division

PLAINTIFF	DEFENDANT
RESEARCH AFFILIATES, LLC	WISDOMTREE INVESTMENTS, INC., WISDOMTREE TRUST, WISDOMTREE ASSET MANAGEMENT, INC., WISDOMTREE RETIREMENT SERVICES, INC., MELLON CAPITAL MANAGEMENT CORP., AND ALPS DISTRIBUTORS, INC.

	PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1	7,747,502	6/29/2010	RESEARCH AFFILIATES, LLC
2	7,792,719	9/7/2010	RESEARCH AFFILIATES, LLC
3	8,005,740	8/23/2011	RESEARCH AFFILIATES, LLC
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5			

CLERK, U.S. DISTRICT COURT
2011 DEC -1 AM 10:13
CENTRAL DIST. OF CALIF.
SANTA ANA

By Fax

FILED

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading		
	PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1			
2			
3			
4			
5			

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director **Copy 3—Upon termination of action, mail this copy to Director**
Copy 2—Upon filing document adding patent(s), mail this copy to Director **Copy 4—Case file copy**

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